

FY 2003 - 1st Quarter EXCEPTION REPORT

#1: Clean & Safe Water Objective #1: By 2005, population served by community water systems providing drinking water that meets 1994 health-based standards will increase to 95%.		Program #4210: Safe Drinking Water	
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.1.1	TASK: Drinking Water Plan Review. Review plans for new water production, treatment, storage and distributions facilities and appurtenances and modifications to existing facilities. Review plans for swimming pools. DELIVERABLES: PPG 1. Issue Approval To Construct or project denials on water projects. 2. Issue Approval To Construct or project denials for swimming pools/spas.	T = 137 (PPG = 100) T = 28	Drinking Water NRO = 12 PHX = 125 Drinking Water NRO = 03 SRO = 10 PHX = 15
EXCEPTION REPORT COMMENTS (Info Needed: On-Target, Off-Target, Completed or N/A(No Activity). If "Off Target", please explain why. 1ST QTR: All deliverables are on target.			

FTE FUNDING SOURCE	MONTHS	AMOUNT
PPG	44.00	165,220
ST PPG	33.00	107,503
PPG (NRO)	<u>10.00</u>	<u>43,600</u>
Total	87.00	316,323

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GOAL #1: Clean & Safe Water		Program #4210: Safe Drinking Wa ter	
Objective #1: By 2005, population served by community water systems providing drinking water that meets 1994 health-based standards will increase to 95%.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T= TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.1.2	TASK: Drinking Water Inspections. Conduct compliance inspections of drinking water construction projects. Perform final inspections on public and semipublic swimming pool construction projects. Investigate and resolve complaints relating to regulated facilities. Respond to drinking water emergencies. DELIVERABLES:		
PPG	1. Drinking water systems compliance inspections for sanitary survey, prioritized by date of last survey.	T = 410	Compliance NRO = 116 SRO = 88 PHX = 170
PPG	2. Follow-up inspections/site visits (as needed).	T = As needed	Compliance NRO =As needed SRO = As needed PHX =As needed
PPG	3. Joint and/or audit inspections with delegated entities.	T = 12	Compliance NRO = 4 SRO = 4 PHX = 4
PPG	4. Drinking water system construction inspections (as needed).	T = As needed	Drinking Water NRO = 19 SRO = 19 PHX = 32
PPG	5. Issue Notices of Opportunity to Correct (NOCs) and/or Notices of Violation (NOVs) and track schedules for operation and maintenance deficiencies (as needed).	T = As needed	Compliance NRO =As needed SRO =As needed PHX =As needed
	6. Evaluations of applications for “Approval of Construction” certificates for drinking water facilities (as needed).	T = 300	Drinking Water NRO = 109 SRO = 109 PHX = 82
	7. Complaint investigations.	T = As needed	Compliance NRO =As needed SRO =As needed PHX =As needed

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<i>Objective #1:</i> By 2005, population served by community water systems providing drinking water that meets 1994 health-based standards will increase to 95%.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T= TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.1.2	TASK: Drinking Water Inspections (Cont'd.)		
	DELIVERABLES:		
	8. Swimming pool construction inspections (as needed).	T = 40	Drinking Water NRO = 0 SRO = 10 PHX = 30
	9. Provide site-specific compliance assistance.	T = As needed	Compliance NRO =As needed SRO =As needed PHX =As needed
EXCEPTION REPORT COMMENTS (Info Needed: On-Target, Off-Target, Completed or N/A(No Activity). If “Off Target”, please explain why.			
1ST QTR: Deliverables #1, #2, #4, #6 and #8 are on target. Deliverable #3: no inspections were conducted in the first quarter.			

NOTE: Partial freeze of state-funded FTEs is in effect.

FTE FUNDING SOURCE	MONTHS	AMOUNT
G/F	13.20	47,022
G/F DW	12.00	45,295
ST PPG	12.00	34,698
ST SRF/PWSS II	10.25	37,512
PPG	7.00	16,126
PPG (NRO)	10.00	43,600
PPG (SRO)	6.00	23,069
Total	70.45	247,322

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GOAL #1: Clean & Safe Water Program #4210: Safe Drinking Water			
<i>Objective #1</i> : By 2005, population served by community water systems providing drinking water that meets 1994 health-based standards will increase to 95%.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.1.3	TASK: New and Existing System Capacity Development. Assure that new community water systems and non-transient non-community water systems which commenced operations since October 1, 1999, can demonstrate technical, managerial and financial capacity to operate in compliance with the requirements contained in the federal regulations in effect at the time the water system begins operations. Refine and implement an Existing System Capacity Development Strategy to ensure that existing systems have the technical, managerial and financial capacity to operate in compliance with the requirements contained in the federal regulations. Implement the WIFA/DEQ Partnership to provide Operational Technical Assistance. DELIVERABLES:		
SRF/ Cap Dev V	1. Assist all newly proposed water systems in gaining adequate capacity prior to issuance of AOC.	T = Ongoing	Drinking Water
SRF/ PWSS II	2. Update capacity development master priority list annually for existing systems.	T = 7/01/02	Drinking Water
SRF/ Cap Dev V	3. Conduct capacity development workshops targeting water systems from the master priority list.	T = 12	Drinking Water
SRF/ PWSS II	4. Identify TMF obstacles/challenges faced by existing systems.	T = Ongoing	Drinking Water
Cap Dev V	5. Implement strategies to address #4 issues.	T = Ongoing	Drinking Water
Cap Dev V	6. Distribute capacity assistance workbooks to water systems appearing on the master priority list.	T = 200	Drinking Water
SRF/ PWSS II	7. Annual Report to EPA in conjunction with WIFA.	T = 9/30/02	Drinking Water
SRF/ PWSS II	8. Submit capacity development report required by 1420(b)(2) to EPA.	T = 8/06/02	Drinking Water

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GOAL #1: Clean & Safe Water <i>Objective #1</i> : By 2005, population served by community water systems providing drinking water that meets 1994 health-based standards will increase to 95%.		Program #4210: Safe Drinking Water	
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.1.3	TASK: New and Existing System Capacity Development. (Cont'd.) DELIVERABLES:		
<p style="text-align: center;">EXCEPTION REPORT COMMENTS</p> <p>(Info Needed: On-Target, Off-Target, Completed or N/A(No Activity). If "Off Target", please explain why.</p> <p>1ST QTR: All deliverables are on target.</p>			

FTE FUNDING SOURCE	MONTHS	AMOUNT
G/F	.25	441
SRF/Cap Dev V	25.00	69,251
SRF/PWSS II	23.00	58,592
ST SRF/PWSS II	<u>11.75</u>	<u>48,053</u>
Total	60.00	176,337

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GOAL #1: Clean & Safe Water Objective #1: By 2005, population served by community water systems providing drinking water that meets 1994 health-based standards will increase to 95%.		Program #4210: Safe Drinking Water	
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T= TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.1.4	TASK: Drinking Water Technical Assistance. Monies provided by SRF set-a-sides will be used to pay third-party technical assistance providers for delivering technical assistance to individual PWSs or groups of PWSs, at ADEQ's direction, or developing tools that will benefit small water systems. Develop a WIFA/DEQ Partnership to provide technical assistance. DELIVERABLES:		
SRF/ PWSS II	1. Finalize Intended Use Plan (IUP) for system level technical assistance in conjunction with WIFA.	T = 3/01/03 - Annually thereafter	Drinking Water
	2. Identify fundable range for System Evaluations (SE) from Master Priority List (MPL).	T = 7/01/02 - 10/01/02	Drinking Water
	3. Oversee contractor performance of SE for fundable range.	T = 4/01/03	Drinking Water
	4. Identify system level TA from SEs completed.	T = 7/01/02	Drinking Water
	5. Identify fundable range for system level TA from IUP after completion of SEs.	T = 7/01/02	Drinking Water
	6. Oversee contractor performance of system level TA for fundable range.	T = Ongoing	Drinking Water
	7. Annual report to EPA in conjunction with WIFA.	T = 9/01/02	Drinking Water
EXCEPTION REPORT COMMENTS (Info Needed: On-Target, Off-Target, Completed or N/A(No Activity). If "Off Target", please explain why. 1ST QTR: All deliverables are on target. Staff working to issue RFP to secure base of contractors to perform activities.			

FTE FUNDING SOURCE	MONTHS	AMOUNT
SRF/PWSS II	1.00	4,000

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GOAL #1: Clean & Safe Water Objective #1: By 2005, population served by community water systems providing drinking water that meets 1994 health-based standards will increase to 95%.		Program #4220: Operator Certification	
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.1.5	TASK: Operator Certification. Section 1419 of the Safe Drinking Water Act requires states to adopt and implement a program for certification and recertification of the operators of community water systems (CWSs) and non-transient, non-community water systems (NTNCWSs) that meet the minimum standards set forth in guidelines to be published by EPA. The Act requires EPA to publish the final guidance by February 6, 1999. States must have conforming programs in place within 2 years of publication or risk losing 20% of their annual Drinking Water State Revolving Fund (DWSRF) allocation. DELIVERABLES:		
SRF/ PWSS II	1. Continue implementation of new rules.	T = Ongoing	Drinking Water
“	2. Secure third party training/testing services.	T = Ongoing	Drinking Water
“	3. Conduct audits of approved third party trainer/testers.	T = Quarterly	Drinking Water
“	4. Review and verify professional development hours for certification renewals.	T = Ongoing	Drinking Water
“	5. Issue certificates to persons passing testing requirements.	T = Ongoing, within 7 days of receipt from third party testers.	Drinking Water
“	6. Validate exam questions and exams from approved third party testers.	T = Ongoing, at a minimum of quarterly.	Drinking Water
“	7. Process and resolve disputes between persons and third party testers.	T = Within 30 days of receipt of complaint/dispute	Drinking Water
“	8. Maintain registry of certified operators.	T = Ongoing	Drinking Water
“	9. Partner with capacity development workshops to educate operators.	T = 12	Drinking Water

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GOAL #1: Clean & Safe Water		Program #4220: Operator Certification	
<i>Objective #1:</i> By 2005, population served by community water systems providing drinking water that meets 1994 health-based standards will increase to 95%.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.1.5	TASK: Operator Certification. (Cont'd.) DELIVERABLES: 10. Modify rule to allow for approval of training entities. 11. Approve third party training entities. 12. Submit workplan for operator ERG program to EPA for review and approval. 13. Implement reimbursement program in conjunction with WIFA. 14. Maintain list of eligible operators for reimbursement to allow WIFA to provide funds.	T = 7/01/02 T = 8/01/02 T = 8/01/02 T = 10/01/02 T = Ongoing	Drinking Water Drinking Water Drinking Water Drinking Water Drinking Water
SRF/ PWSS II	10. Annual report to EPA in conjunction with WIFA.	T = 09/01/02	Drinking Water
EXCEPTION REPORT COMMENTS (Info Needed: On-Target, Off-Target, Completed or N/A(No Activity). If “Off Target”, please explain why. 1ST QTR: Deliverable #13 is off target; federal grant is not available at this time. All other deliverables are on target.			

FTE FUNDING SOURCE	MONTHS	AMOUNT
SRF/PWSS II	11.00	30,060
ST SRF/ PWSS II	<u>6.00</u>	<u>20,630</u>
Total	17.00	50,690

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GOAL #1: Clean & Safe Water		Program #4210: Safe Drinking Water	
<i>Objective #1:</i> By 2005, population served by community water systems providing drinking water that meets 1994 health-based standards will increase to 95%			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.1.6	<p>TASK: New and Existing System Security</p> <p><i>Assist Arizona public water systems in identifying the minimum amount of physical security required to adequately protect both the system's water source and infrastructure from intentional acts of vandalism and terrorism. Assist water systems in developing comprehensive Emergency Operations Plan (EOP) based on results from Vulnerability Self-Assessments.</i></p> <p><i>Develop and implement a training program to ensure that existing systems have the knowledge and awareness of security concerns related to their water system.</i></p> <p><i>Develop partnerships with organizations and other government agencies to ensure Emergency Management Planning (EMP) results in comprehensive and coordinated response to any unexpected incident experienced by Arizona water systems.</i></p> <p>DELIVERABLES:</p>		
CTC	1. <i>Develop, modify and distribute an EOP template on compact disk or hard copy to Arizona public water systems.</i>	T = Ongoing	Drinking Water
CTC	2. <i>Distribute a Vulnerability Self-Assessment template on compact disk or hard copy to Arizona public water systems.</i>	T = 1665	Drinking Water
CTC	3. <i>Conduct EMP/ EOP/ Vulnerability Self-Assessment workshops throughout</i>	T = 12	Drinking Water

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	Arizona to assist Arizona public water systems in completing the template(s) in #1 and #2.		
CTC	4. Update templates as needed.	T = Ongoing	Drinking Water
CTC	5. Coordinate with other federal, State and local agencies water system security activities	T = Ongoing	Drinking Water

GOAL #1: Clean & Safe Water
Program #4210: Safe Drinking Water

Objective #1: By 2005, population served by community water systems providing drinking water that meets 1994 health-based standards will increase to 95%

TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.1.6	TASK: New and Existing System Security (Cont'd)		
	DELIVERABLES:		
CTC	6. Modify Drinking Water Database to allow tracking of Arizona public water systems who have completed vulnerability self assessments and developed EMPs.	T = Ongoing	Drinking Water
CTC	7. Include progress in the annual Capacity Development Report.	T = Ongoing	Drinking Water
CTC	8. Develop a workplan and detailed budget to include the following:	T = 30 days after award	Drinking Water
CTC	a. Provide technical assistance, training, or distribution of tools that lead to "satisfactory" Vulnerability Assessments of drinking water systems		
CTC	b. Provide technical assistance, training, or distribution of tools that would lead to drinking water systems preparing, amending or updating ERPs to meet new requirements or security threats.		
CTC	c. Provide drinking water systems with timely and reliable information on water security issues, improve communication and coordination capabilities between states and drinking water systems.		
CTC	d. Further assess needs to determine if other activities are warranted.		

Pending EPA approval.

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TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.1.6	TASK: New and Existing System Security (Cont'd) DELIVERABLES:		

EXCEPTION REPORT COMMENTS

(Info Needed: On-Target, Off-Target, Completed or N/A(No Activity). If “Off Target”, please explain why.)

1ST QTR:
 Deliverable #2 is off target; waiting for agreement between ASDWA, NRWA, and EPA Headquarters on template acceptability for smaller systems. Deliverable #6 is off target; resource constraints will not allow development at this time.
 All other deliverables are on target.

FTE FUNDING SOURCE	MONTHS	AMOUNT
Counter Terrorism Coord. (CTC)	3.0	10,113

REVISED AUG. 27, 2002

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GOAL #1: Clean & Safe Water		Program #4210: Safe Drinking Water	
<i>Objective #2:</i> 95% compliance for new standards, 5 years after effective date.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.2.1	TASK: Drinking Water Compliance Strategies. Develop and implement strategies to improve compliance rules. Provide technical assistance, conduct intensive reviews of delegated county files to update ADEQ drinking water compliance database, conduct outreach efforts, coordinate state lab certification program with primacy requirements, develop and update guidance documents, conduct compliance seminars/workshops. DELIVERABLES:		
	1. Develop water system security outreach materials.	T = Ongoing	Drinking Water
	2. Conduct water system security outreach activities.	T = 12	Drinking Water
	3. Assist systems in developing and implementing system level security measures.	T = As needed	Drinking Water
	4. Form rapid response team for water system security issues.	T - 8/01/02	Drinking Water
PPG	5. Compliance seminars/workshops	T = 12	Drinking Water
PPG	6. Work with WIFA to develop online interactive training program.	T = 7/01/02 thru 11/30/03	Drinking Water
EXCEPTION REPORT COMMENTS (Info Needed: On-Target, Off-Target, Completed or N/A(No Activity). If “Off Target”, please explain why.			
1ST QTR: Deliverable #4 is off target. Awaiting completion of Master Plan. All other deliverables are on target.			

NOTE: Partial freeze of state-funded FTEs is in effect.

FTE FUNDING SOURCE	MONTHS	AMOUNT
G/F DW	12.50	31,583
ST SRF/PWSS II	4.00	7,445
ST PPG	6.00	14,750
PPG	<u>12.00</u>	<u>37,532</u>
Total	34.50	91,310

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GOAL #1: Clean & Safe Water		Program #4210: Safe Drinking Water	
Objective #2 : 95% compliance for new standards, 5 years after effective date.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.2.2	TASK: Monitoring Program. Develop and implement strategies to perform compliance monitoring for systems serving fewer than 10,000 population. Provide technical assistance, develop and update monitoring guidance documents. Initiate and issue waivers. Update vulnerability assessments. Reconcile laboratory analysis report with requested tests. Reconcile laboratory test results with individual system monitoring requirements. Assure all follow-up samples are taken. GPS points of entry, as needed. Develop rules, as needed. DELIVERABLES:		
SRF/ SWAP	1. Update monitoring waivers for 2003 systems.	T = 8/01/02	Drinking Water
SRF/ WHP IV	2. a. Update monitoring schedules for all groundwater MAP PWS.	T = Biweekly	Drinking Water
SRF/ PWSS	b. Update monitoring schedules for all surface water MAP PWS.	T = Biweekly	
PPG	3. Send MAP bills.	T = 11/01/02	Drinking Water
PPG	4. Participate in capacity development assistance workshops.	T = 12	Drinking Water
EXCEPTION REPORT COMMENTS (Info Needed: On-Target, Off-Target, Completed or N/A(No Activity). If “Off Target”, please explain why.			
1ST QTR: Deliverable #1 is off target; completion anticipated by 11/02. All other deliverables are on target.			

Note: SWAP funding ends 5/31/03.

FTE FUNDING SOURCE	MONTHS	AMOUNT
PPG	12.00	37,192
MAP Fee Fund	12.00	36,224
SWAP	3.00	12,464
SRF/WHP IV	7.00	19,024
SRF/PWSS II	1.00	2,733
Total	35.00	107,637

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GOAL #1: Clean & Safe Water		Program #4210: Safe Drinking Water	
Objective #2 : 95% compliance for new standards, 5 years after effective date.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.2.3	<p>TASK: Arsenic Master Plan</p> <p>Develop target comprehensive compliance strategy for the arsenic regulation in Arizona.</p> <p>DELIVERABLES:</p> <p>1. Verify impacted systems and categorize according to system size.</p> <p>2. Develop summary of federal arsenic regulation in an easy to understand format for use by small water systems.</p> <p>3. Obtain and summarize water quality data for competing contaminants. Categorize water systems into water quality profiles.</p> <p>4. Work individual water systems through decision tree of compliance options to determine the preferred option.</p> <p>5. Develop compliance cost estimation tool based on Arizona specific cost curves, environmental conditions and regional equipment costs.</p> <p>6. Develop criteria and gather data for determining when non-treatment options are appropriate and which ones would be preferred.</p> <p>7. Develop general design criteria for treatment plants.</p> <p>8. Develop criteria for Point of Use compliance programs that will meet State and federal requirements.</p> <p>9. Prepare final report.</p> <p>10. Conduct training workshops for water systems and operators after completion of report.</p> <p>11. Develop listing of financial service providers and easy to understand guidance for small water systems obtaining financial assistance.</p> <p>12. Survey potential technical assistance providers for the arsenic regulation to obtain areas of expertise.</p>	<p>A = 6/14/2002</p> <p>A = 6/14/2002</p> <p>T = 7/15/2002</p> <p>T = 8/15/2002</p> <p>T = 7/31/2002</p> <p>T = 8/15/2002</p> <p>T = 8/30/2002</p> <p>T = 8/30/2002</p> <p>T = 9/09/2002</p> <p>T = beginning 9/2002 and ongoing</p> <p>T = 7/31/2002</p> <p>A = 8/01/02</p>	<p>Drinking Water/ Contractor</p> <p>Drinking Water</p> <p>Drinking Water/ Contractor</p> <p>Contractor</p> <p>Contractor</p> <p>Contractor</p> <p>Contractor</p> <p>Contractor</p> <p>Drinking Water/ Contractor</p> <p>Drinking Water/ Contractor</p> <p>Drinking Water</p> <p>Drinking Water</p>

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GOAL #1: Clean & Safe Water	Program #4210: Safe Drinking Water
<i>Objective #2 :</i> 95% compliance for new standards, 5 years after effective date.	

TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.2.3	TASK: Arsenic Master Plan (Cont'd) DELIVERABLES: 13. Compile survey results into matrix and provide written guidance for systems and operators in selecting appropriate technical assistance providers.	A = 5/30/2002	Drinking Water

EXCEPTION REPORT COMMENTS

(Info Needed: On-Target, Off-Target, Completed or N/A(No Activity). If “Off Target”, please explain why.

1ST QTR:
 Deliverables #9 and #10 are off target; anticipated completion date of 11/02.
 All other deliverables are on target.

Pending grant award

FTE FUNDING SOURCE	MONTHS	AMOUNT
GF	1.00	5,230

REVISED JULY 22, 2002

FY 2003 - 1st Quarter EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #4210: Safe Drinking Water	
Objective #3: By 2005, standards that establish protected levels for additional 10 high-risk drinking water contaminants.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.3.1	TASK: Drinking Water Rule Development. Update Arizona’s drinking water rules to conform to federally promulgated regulations so that the drinking water rules are no less stringent than the federal language. Implement new public water system definition. DELIVERABLES:		
PPG	1. Monitor federal development of Radon rule.	T = Ongoing	Drinking Water
	2. Radionuclides and Arsenic rule		Drinking Water
	a. Request an extension for nuclides	a. T = 10/01/02	
	b. Submit to Secretary of State	b. T = 7/01/02	
	c. Submit draft final primacy package to EPA	c. T = 7/01/02	
	d. File with GRRC	d. T = 11/01/02	
SRF/ PWSS II	e. GRRC hearing	e. T = 1/07/03	
	f. Submit package to EPA	f. T = 1/20/03	
	3. Long Term 1 Enhanced SWTR/Filter Backwash Rule		Drinking Water
	a. Submit to Secretary of State	a. T = 11/01/02	
	b. Submit draft final primacy package to EPA	b. T = 11/01/02	
	c. File with GRRC	c. T = 3/15/03	
	d. GRRC Hearing	d. T = 5/06/03	
	e. Submit package to EPA	e. T = 6/01/03	
EXCEPTION REPORT COMMENTS			
(Info Needed: On-Target, Off-Target, Completed or N/A(No Activity). If “Off Target”, please explain why.			
1ST QTR:			
Deliverables #2 and #3 are off target. Current staffing levels for rule development functions have been reduced resulting in delays in adoption schedule. Radionuclides and arsenic rule development process began 9/02 and anticipate completion by 3/03.			

NOTES: i) Some dates are outside of FY03
ii) Partial freeze of state-funded FTEs is in effect.

FTE FUNDING SOURCE	MONTHS	AMOUNT
G/F DW	17.50	41,318
ST SRF/PWSS II	12.00	24,723
SRF/PWSS II	11.00	44,005
PPG	26.00	75,346
Total	66.50	185,392

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GOAL #1: Clean & Safe Water		Program #4210: Safe Drinking Water	
<i>Objective #4:</i> Implement Source Water Protection Programs, such as approved wellhead protection, protective local ordinances, protective local land use plans, sources assessed as non-susceptible and SWAP void of selected adjacent land uses.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY <i>T=TARGET</i> <i>A=ACTUAL</i>	RESPONSIBLE SECTION/ STAFF
1.4.1	<p>TASK: Source Water Assessment Program (SWAP)</p> <p>Perform all planning activities necessary, through 6/2003 to support the Source Water Protection Program to ensure consistency and integration with the overall water quality programs:</p> <p>a) Area-wide Assessments - Identify areas where, on the basis of a review of occurrence and use data, source-specific assessments for selected contaminants are unnecessary and area-wide waivers or permanent monitoring relief may be granted.</p> <p>b) Source Specific Assessments - Accomplish assessments for individual sources by delineating source water protection areas, inventorying potential sources of contamination, performing vulnerability/susceptibility analyses and performing regional sampling studies where necessary to fill data gaps.</p> <p>DELIVERABLES:</p>		
SWAP	1. Implement public participation/ education plan.	T = Ongoing	Drinking Water
SWAP	2. Implement public participation portion of the Source Water Assessment Program.	T = As needed	Drinking Water
SWAP	3. Coordinate the Advisory Committee activities.	T = As needed	Drinking Water
SWAP	4. Collect GPS data for PWSs: a) Sources b) Adjacent Land Use (ALU)	T = Ongoing - As needed	Drinking Water
SWAP	5. Run GIS program comparing ALUs to waiver study area/delineation to determine eligibility for waivers.	T = 10/01/02	Drinking Water
SWAP	6. Review occurrence data.	T = 9/01/02	Drinking Water
SWAP	7. Mail waiver letters to PWS.	T = As needed	Drinking Water

GOAL #1: Clean & Safe Water	Program #4210: Safe
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Drinking Water <i>Objective #4:</i> Implement Source Water Protection Programs, such as approved wellhead protection, protective local ordinances, protective local land use plans, sources assessed as non-susceptible and SWAP void of selected adjacent land uses.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY <i>T=TARGET</i> <i>A=ACTUAL</i>	RESPONSIBLE SECTION/ STAFF
1.4.1	TASK: Source Water Assessment Program (SWAP) (Cont'd.) DELIVERABLES:		
SWAP	8. Process and record waivers in Drinking Water database.	T = As needed	Drinking Water
SWAP	9. Generate reports out of Drinking Water database for wells that need source water assessments.	T = Ongoing	Drinking Water
SWAP	10. Delineate source water assessment areas for PWS, based on counties.	T = 5 Counties	Drinking Water
SWAP	11. Make sensitivity determinations through: a) Review of occurrence data. b) Review of sanitary surveys. c) Review hydrological characterization (50 foot clay layer).	T = 5 Counties	Drinking Water
SWAP	12. Evaluate all adjacent land uses within source water assessment areas and generate hydrologic conditions summary report.	T = 5 Counties	Drinking Water
SWAP/ SRF/WHP IV	13. Produce SWAP reports.	T = 5 Counties	Drinking Water
SWAP	14. Develop SWAP report distribution strategy (includes public workshops).	T = Ongoing	Drinking Water
SWAP	15. Process SWA report appeals for: a) Hydrology b) Adjacent land uses	T = As needed	Drinking Water
SWAP	16. Coordinate with TMDL program to enjoin public participation processes of SWAP and CCP.	T = Ongoing	Drinking Water
SWAP	17. Annual Report to EPA in conjunction with WIFA.	T = 9/01/01 9/01/02	Drinking Water

NOTE: SWAP funding ends 5/31/03.

FY 2003 - 1st Quarter EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #4210: Safe Drinking Water	
<i>Objective #4:</i> Implement Source Water Protection Programs, such as approved wellhead protection, protective local ordinances, protective local land use plans, sources assessed as non-susceptible and SWAP void of selected adjacent land uses.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY <i>T=TARGET</i> <i>A=ACTUAL</i>	RESPONSIBLE SECTION/ STAFF
1.4.1	TASK: Source Water Assessment Program (SWAP) (Cont'd.) DELIVERABLES:		
<p style="text-align: center;">EXCEPTION REPORT COMMENTS</p> <p>(Info Needed: On-Target, Off-Target, Completed or N/A(No Activity). If “Off Target”, please explain why.</p> <p>1ST QTR: All deliverables are on target.</p>			

FTE FUNDING SOURCE	MONTHS	AMOUNT
G/F DW	6.00	14,750
SRF/WHP IV	16.00	42,859
SWAP	<u>22.00</u>	<u>68,277</u>
Total	44.00	125,886

FY 2003 - 1st Quarter EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #4210: Safe Drinking Water	
<i>Objective #4</i> : Implement Source Water Protection Programs, such as approved wellhead protection, protective local ordinances, protective local land use plans, sources assessed as non-susceptible and SWAP void of selected adjacent land uses.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.4.2	TASK: Implement Source Water Protection Programs. These activities will provide the transition from assessments to protection efforts which include: providing technical assistance in planning and implementing local Wellhead Protection and groundwater quality protection throughout Arizona. Facilitate local/state/federal program development and implementation efforts. DELIVERABLES:		
SRF/WHP IV	1. Collect locational data using GPS. a) Specific chemical use/storage within ALU. b) Newly discovered ALUs. c) New Drinking Water sources.	T = As needed	Drinking Water
SRF/WHP IV	2. Conduct comprehensive ALU evaluation.	T = As needed	Drinking Water
SRF/WHP IV	3. Refine and conduct comprehensive sensitivity evaluations on site specific basis.	T = As needed	Drinking Water
SRF/WHP IV	4. Design SWAP or SWA report format which incorporates transitional information to protection efforts.	T = As needed	Drinking Water
SRF/WHP IV/ PPG	5. Conduct Wellhead Protection and SWA workshops to facilitate assessments to protection transition.	T = 12	Drinking Water SRO
SRF/WHP IV/ PPG	6. Facilitate and assist local authorities in implementing Wellhead Protection, (WHP) activities.	T = 12	Drinking Water SRO
SRF/WHP IV	7. Coordinate with TMDL program to enjoin public participation processes of SWAP and CCP.	T = Ongoing	Drinking Water
SRF/WHP IV	8. Annual Report to EPA in conjunction with WIFA.	T = 9/01/02	Drinking Water

FY 2003 - 1st Quarter EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #4210: Safe Drinking Water	
<i>Objective #4</i> : Implement Source Water Protection Programs, such as approved wellhead protection, protective local ordinances, protective local land use plans, sources assessed as non-susceptible and SWAP void of selected adjacent land uses.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.4.2	TASK: Implement Source Water Protection Programs (Cont'd). DELIVERABLES:		
<p style="text-align: center;">EXCEPTION REPORT COMMENTS</p> <p>(Info Needed: On-Target, Off-Target, Completed or N/A(No Activity). If "Off Target", please explain why.</p> <p>1ST QTR: Deliverable #6 is off target. Staff are working to assist with protection programs, however, emphasis is being placed on completing assessments. All other deliverables are on target. </p>			

FTE FUNDING SOURCE	MONTHS	AMOUNT
PPG (SRO)	3.00	12,444
G/F	12.00	41,616
ST PWSS II	6.00	23,348
SRF/WHP IV	44.00	134,411
ST PPG	6.00	23,348
Total	71.00	235,167

FY 2003 - 1st Quarter EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #4210: Safe Drinking Water	
<p><i>Objective #4</i> : Implement Source Water Protection Programs, such as approved wellhead protection, protective local ordinances, protective local land use plans, sources assessed as non-susceptible and SWAP void of selected adjacent land uses.</p>			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.4.3	<p>TASK: Enhance Public Participation.</p> <p>This project will serve to increase public participation and awareness of the Source Water Assessment report notification and distribution process, increase involvement and availability of the final assessments, and will result in enhanced public understanding of the Source Water Assessment Program.</p> <p>DELIVERABLES:</p>		
104(b)(3) PP Interstate	1. Conduct workshops for adjacent land use and PWSs.	T = Ongoing - As needed	Drinking Water
SWAP	2. Conduct workshops on SWAP report interpretation for local communities and public.	T = Ongoing - As needed	Drinking Water
“ ”	3. Implement SWAP report distribution strategy.	T = Ongoing	Drinking Water
<p style="text-align: center;">EXCEPTION REPORT COMMENTS</p> <p>(Info Needed: On-Target, Off-Target, Completed or N/A(No Activity). If “Off Target”, please explain why.</p> <p>1ST QTR: All deliverables are on target.</p>			

FTE FUNDING SOURCE	MONTHS	AMOUNT
SWAP	1.00	2,733
Pilot Proj-Pub. Part./Interstate	<u>2.00</u>	<u>2,284</u>
Total	3.00	5,017

FY 2003 - 1st Quarter EXCEPTION REPORT

GOAL #1: Clean & Safe Water

**Program #4210: Safe Drinking
Water**

Objective #4 : Implement Source Water Protection Programs, such as approved wellhead protection, protective local ordinances, protective local land use plans, sources assessed as non-susceptible and SWAP void of selected adjacent land uses.

TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.4.4	<p>TASK: Pilot Projects for Interstate Source Water Assessments.</p> <p>Define a process for delineating the watersheds along the Colorado River and defining the segmenting process for determining the significant potential sources of contamination. Coordinate with interstate conferences to facilitate information exchange.</p> <p>DELIVERABLES:</p>		
104(b)(3) PP Interstate	<p>1. Participate in meetings with involved states and determine sources of information available on delineations from all states including:</p> <ul style="list-style-type: none"> a. How information will be distributed b. What format information will be in c. Who will receive information 	T = Ongoing	Drinking Water
SWAP	<p>2. Meet with involved states to determine.</p> <ul style="list-style-type: none"> a. Time frames for segmentation and ALU determinations. b. How SWA information will be distributed to the public. 	T = Ongoing	Drinking Water
“ ”	<p>3. Review and comment on involved states' individual assessments.</p>	T = 12/31/02	Drinking Water
104(b)(3) PP Interstate	<p>4. Annual report to EPA on interstate activities.</p>	T = 6/30/03	Drinking Water

FY 2003 - 1st Quarter EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #4210: Safe Drinking Water	
<p><i>Objective #4</i> : Implement Source Water Protection Programs, such as approved wellhead protection, protective local ordinances, protective local land use plans, sources assessed as non-susceptible and SWAP void of selected adjacent land uses.</p>			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.4.4	TASK: Pilot Projects for Interstate Source Water Assessments (Cont'd). DELIVERABLES:		
<p style="text-align: center;">EXCEPTION REPORT COMMENTS</p> <p>(Info Needed: On-Target, Off-Target, Completed or N/A(No Activity). If “Off Target”, please explain why.</p> <p>1ST QTR: All deliverables are off target. Unit manager is working with adjacent states to obtain data necessary for completion.</p>			

FTE FUNDING SOURCE	MONTHS	AMOUNT
SWAP	1.00	2,733
Pilot Proj-Pub. Part./Interstate	<u>2.00</u>	<u>2,284</u>
Total	3.00	5,017

FY 2003 - 1st Quarter EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #4210: Safe		Dri nkin g Wat er
<i>Objective #4</i> : Implement Source Water Protection Programs, such as approved wellhead protection, protective local ordinances, protective local land use plans, sources assessed as non-susceptible and SWAP void of selected adjacent land uses.				
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF	
1.4.5	TASK: Colorado River Interstate Source Water Assessment Protection. Perform all planning, coordination, and distribution activities necessary to support the Interstate Source Water Assessment Protection project. The activities include the development and dissemination of SWAP reports, newsletters, and a Memorandum of Understanding (MOU) or equivalent written agreement. Compile GPS data from participating states on water sources and adjacent land use along the Colorado River. Delineate source water protection areas, generate GIS covers for the Interstate SWAP report, and coordinate Interstate conferences for information exchange. DELIVERABLES:			
CO River Interstate	1. Coordinate meetings and conference calls to facilitate the development and implementation of the Interstate SWAP project.	T = As requested	Drinking Water	
“	2. Determine in consultation with other states if a communication contractor is needed to design a draft of the Interstate SWAP report format.	T = 10/02	Drinking Water	
“	3. Develop strategy which will not require a Memorandum of Understanding (MOU) or equivalent among participating states of the Interstate SWAP.	T = 8/02	Drinking Water	
“	4. Compile and manipulate data submitted by participating states to incorporate in GIS covers. Data includes surface water intake wells and groundwater wells along the Colorado River.	T = 10/01/02	Drinking Water	
“	5. Make copies available of the Interstate SWAP report to the public, participating states and EPA.	T = As Requested	Drinking Water	

FY 2003 - 1st Quarter EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #4210: Safe Drinking Water	
<p><i>Objective #4</i> : Implement Source Water Protection Programs, such as approved wellhead protection, protective local ordinances, protective local land use plans, sources assessed as non-susceptible and SWAP void of selected adjacent land uses.</p>			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.4.5	TASK: Colorado River Interstate Source Water Assessment Protection (Cont'd.) DELIVERABLES:		
CO River Interstate	6. Coordinate posting, newsletters and SWAP report on ADEQ web sites.	T = Ongoing	Drinking Water
“ ”	7. Mail draft SWAP report via e-mail to participating states for review and comment before final distribution.	T = Ongoing	Drinking Water
“ ”	8. Incorporate comments to the draft SWAP report.	T = Ongoing	Drinking Water
“ ”	9. Prepare and mail out the final report to the public, participating states, EPA Regions VI, VIII, and IX.	T = 12/02	Drinking Water
“ ”			
<p style="text-align: center;">EXCEPTION REPORT COMMENTS</p> <p>(Info Needed: On-Target, Off-Target, Completed or N/A(No Activity). If “Off Target”, please explain why.</p> <p>1ST QTR: All deliverables are off target. Unit manager is working with adjacent states to obtain data necessary for completion.</p>			

FTE FUNDING SOURCE	MONTHS	AMOUNT
CO River Interstate		

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GOAL #1: Clean & Safe Water		Program #4710: State WQ	
		Permits	
Objective #5: By 2005, increase protection of groundwater resources through well management, permit issuance and technical guidance.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.5.1	<p>TASK: Aquifer Protection, Reclaimed Water & Drywell Activities.</p> <p>Evaluate, process and issue or deny aquifer protection permits for discharging facilities and reclaimed water permits for direct use. Register dry wells, evaluate potential groundwater impact and permit them, if necessary. Track billable hours and assess fees for permitting activities and annual registration fees. Track information to satisfy program reporting requirements. Issue subdivision approvals. Provide technical and regulatory assistance, conduct public hearings, and assist Attorney General in legal cases for permit appeals.</p> <p>DELIVERABLES:</p> <p>1. Compliance referrals.</p> <p>2. Drywell registrations:</p> <ul style="list-style-type: none">- Continue registration of existing and new Class V injection wells (dry wells).- Evaluate wells to determine potential impact from waste disposal activities.- Provide technical assistance to EPA on closures, inspections, etc.- Maintain database of Class V wells. <p>3. Make permitting decisions for individual and general permits, significant amendments and clean closure approvals for new facilities:</p> <ul style="list-style-type: none">- Determine BADCT requirements necessary to prevent adverse impacts to groundwater quality due to mining, industrial or wastewater treatment activities at facilities.- Evaluate existing groundwater quality and potential for adverse impacts due to wastewater disposal options. <p>4. Issue or deny permits to existing non-mining facilities to complete goal of OPERA.</p> <p>5. Training:</p> <ul style="list-style-type: none">- Coordinate training opportunity- Provide formal training to ensure new staff understands regulatory framework and job responsibilities	<p>T = As needed</p> <p>T = 100-200/month</p> <p>T = Within LTF</p> <p>T = 12/31/02</p> <p>T = 1 T = Ongoing</p>	<p>Permits</p> <p>Permits</p> <p>Permits</p> <p>Permits SRO</p> <p>Permits</p>

FY 2003 - 1st Quarter EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #4710: State WQ	
Permits			
<i>Objective #5:</i> By 2005, increase protection of groundwater resources through well management, permit issuance and technical guidance.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.5.1	TASK: Aquifer Protection, Reclaimed Water & Drywell Activities. (Cont'd.)		
	DELIVERABLES:		
	6. Continued participation in Acid Drainage Technology Initiative for mining activities.	T = 6/30/03	Permits
	7. Evaluate potential for adverse impacts to groundwater quality resulting from recharge through injection wells, recharge basins or other means.	T = Within LTF	Permits
	8. Process reclaimed water permit applications. - Evaluate applications for permits to encourage beneficial uses of reclaimed water to eliminate discharges to groundwater, protect public health and conserve groundwater resources.	T = Within LTF	Permits
PPG	9. Issue subdivision approvals of wastewater collection, treatment and disposal facilities and individual on-site treatment and disposal systems.	T = Within LTF	Permits
<p style="text-align: center;">EXCEPTION REPORT COMMENTS</p> <p>(Info Needed: On-Target, Off-Target, Completed or N/A(No Activity). If "Off Target", please explain why.</p> <p>1ST QTR: All Deliverables are on target.</p>			

NOTE: Partial freeze of state-funded FTEs is in effect.

FTE FUNDING SOURCE	MONTHS	AMOUNT
G/F	102.96	355,709
G/F APP	170.68	520,780
WQFF	289.32	913,794
PPG	<u>9.00</u>	<u>15,826</u>

FY 2003 - 1st Quarter EXCEPTION REPORT

Total	571.96	1,806,109
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FY 2003 - 1st Quarter EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #4610: WQ Assessment GW/SW	
<i>Objective #6:</i> By 2005, develop a baseline assessment of contaminated fish and shell fish. Increase percentage of waters attaining designated uses.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.6.1 Mercury Fish Concen.	TASK: Priority Pollutant Program. Collect fish tissue samples from 20 lakes and reservoirs in Arizona for mercury analysis. DELIVERABLES: 1. Conduct follow-up monitoring to collect additional fish tissue samples from water bodies where mercury was found. 2. Work with AZ Game & Fish Dept., AZ Dept. of Health Services and other state/federal entities on preparation of fish consumption advisories, as needed. 3. Increase public awareness of the risks presented by bioaccumulated pollutants through lectures, presentations, media briefings and direct contacts.	 T = As needed T = As needed T = As needed	 Hydrology Hydrology Hydrology
<div style="text-align: center;">EXCEPTION REPORT COMMENTS</div> <p>(Info Needed: On-Target, Off-Target, Completed or N/A(No Activity). If "Off Target", please explain why.</p> <p>1ST QTR: All deliverables are on-target.</p>			

FTE FUNDING SOURCE	MONTHS	AMOUNT
ST 319(h) NPS Impl. III	2.00	6,232
ST PPG	<u>2.00</u>	<u>6,232</u>
Mercury - Contract		
Total	4.00	12,464

FY 2003 - 1st Quarter EXCEPTION REPORT

GOAL #1: Clean & Safe Water Program #4610: WQ Assessment GW/SW			
Objective #8: By 2005, complete a baseline assessment and data management systems, complete characterization (using 305(b) criteria), defined scope/schedule for TMDL's, complete inventory of NPS activities - for 30% of watersheds. (Tie the 30% to Unified Watershed Assessments).			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.8.1	TASK: Groundwater Monitoring, Assessments & Program Support. Carry out State and Federal mandates to monitor and report on groundwater quality conditions and contamination problems Statewide. Conduct laboratory maintenance.		
	DELIVERABLES:		
PPG	1. Conduct the following ambient groundwater sampling. a. San Simon GW basin** b. Verde GW basin c. Detrital Valley GW basin d. San Bernardino Valley GW basin	T = 6/03 * 1.a. A = 9/02	Hydrology
	2. Complete draft reports on: a. Duncan Valley GW Basin b. Cienega Creek Basin c. Lower San Pedro GW Basin	T = 6/03 2.a. A = 9/02	Hydrology
	3. Produce final reports on: a. Willcox GW basin	T = 6/03	Hydrology
	4. Sample MTBE in appropriate wells in basins.	T = As needed	Hydrology
	5. Conduct resampling of previous established index wells when needed.	T = As needed	Hydrology
	6. Complete Annual Groundwater Quality Report to the Legislature.	T = 9/02 A = 09/15/02	Hydrology
	7. Coordinate sampling schedule with ADHS Lab. (monthly).	T = 12	Hydrology

* Depending on funding availability

** ½ sampling conducted in FY 02

FY 2003 - 1st Quarter EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #4610: WQ Assessment GW/SW	
<i>Objective #8:</i> By 2005, complete a baseline assessment and data management systems, complete characterization (using 305(b) criteria), defined scope/schedule for TMDL's, complete inventory of NPS activities - for 30% of watersheds. (Tie the 30% to Unified Watershed Assessments).			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.8.1	TASK: Groundwater Monitoring, Assessments & Program Support (Cont'd). DELIVERABLES:		
<p style="text-align: center;">EXCEPTION REPORT COMMENTS</p> <p>(Info Needed: On-Target, Off-Target, Completed or N/A(No Activity). If "Off Target", please explain why.</p> <p>1ST QTR: Deliverable #1a; completed on September 30, 2002. Deliverable #1b; sampling plan in progress. Deliverable #1c and #1d; sampling plan completed, sampling started in October, 2002. Deliverable #2a and #2b are in progress. Deliverable #2c draft completed. Deliverable #3a; in progress. Deliverables #4 and #5 no activity. Deliverable #6; completed in September, 2002. Deliverable #7: on target. </p>			

FTE FUNDING SOURCE	MONTHS	AMOUNT
PPG	8.00	19,666
GF	1.00	3,116
ST PPG	13.00	37,334
ST 319(h) NPS Impl. IV	3.00	11,472
WQARF 319(h) NPS Imp.III	3.00	11,104
WQARF 319(h) NPS Imp.IV	10.00	27,874
WQARF PPG	18.50	61,684
Total	56.50	172,250

FY 2003 - 1st Quarter EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #4840: NPS/Pesticides	
Objective #8 : By 2005, complete a baseline assessment and data management systems, complete characterization (using 305(b) criteria), defined scope/schedule for TMDL's, complete inventory of NPS activities - for 30% of watersheds. (Tie the 30% to Unified Watershed Assessments).			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.8.2	<p>TASK: Pesticide Monitoring.</p> <p>Prepare sampling strategies and conduct soil and groundwater monitoring in agricultural areas for pesticide residues. Review monitoring results, refer cases.</p> <p>DELIVERABLES:</p> <ol style="list-style-type: none"> Continue sampling in vulnerable areas to monitor for agricultural pesticides. <ol style="list-style-type: none"> Groundwater Soil Continue conducting enforcement/compliance actions against chemical companies whose registered agricultural pesticides are detected in groundwater or soil. Prepare groundwater and soil sampling strategies for fiscal year 2003. (Pesticide and Ambient Groundwater Monitoring Programs) Continue providing ADA with monitoring results on groundwater and soil. Conduct groundwater and soil sampling for pesticides along the Salt/Gila Rivers from 23rd Ave. to Painted Rocks Dam in support of Middle Gila TMDL/watershed efforts. 	<ol style="list-style-type: none"> T = 22 wells A = 7 T = 22 samples A = 9 As needed T = As needed T = 7/02 A = 07/02 T = Quarterly A = completed (see #1) T = 10/02 A = 09/02 	<p>Hydrology</p> <p>Hydrology</p> <p>Hydrology</p> <p>Hydrology</p> <p>Hydrology</p>

FY 2003 - 1st Quarter EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #4840: NPS/Pesticides	
<i>Objective #8</i> : By 2005, complete a baseline assessment and data management systems, complete characterization (using 305(b) criteria), defined scope/schedule for TMDL's, complete inventory of NPS activities - for 30% of watersheds. (Tie the 30% to Unified Watershed Assessments).			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.8.2	TASK: Pesticide Monitoring (Cont'd). DELIVERABLES:		

EXCEPTION REPORT COMMENTS

(Info Needed: On-Target, Off-Target, Completed or N/A(No Activity). If "Off Target", please explain why.

1ST QTR:
 Deliverable #1a; sampled 7 wells in Yuma (plus 2 QA/QC samples) using ADA's FIFRA money. No additional sampling planned for FY03 -- as no money available other than ADA's. Deliverable #1b no activity. Deliverable 3: complete; no additional funds available for further monitoring. Deliverable 4: no further activity. Deliverable 5: completed.

FTE FUNDING SOURCE	MONTHS	AMOUNT
ST PPG	5.00	12,319
WQARF 319(h) Imp. IV	4.50	12,685
ST 319(h) NPS Impl. III	1.00	3,824
ST 319(h) NPS Impl. IV	<u>3.00</u>	<u>11,472</u>
Total	13.50	40,300

FY 2003 - 1st Quarter EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #4610: WQ Assessment - GW/SW	
<i>Objective #8:</i> By 2005, complete a baseline assessment and data management systems, complete characterization (using 305(b) criteria), defined scope/schedule for TMDL's, complete inventory of NPS activities - for 30% of watersheds. (Tie the 30% to Unified Watershed Assessments)..			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY <i>T=TARGET A=ACTUAL</i>	RESPONSIBLE SECTION/ STAFF
1.8.3	TASK: Water Quality Database Development, Implementation & Management Develop and manage the Water Quality Division Database (WQDB) oracle applications to support data storage, access, retrievals, analysis, integration, and sharing. DELIVERABLES:		
	1. Provide GPS training.	T = 5 classes/yr	Data Mgmt.
	2. Validation of GPS data.	T = 750 data points/yr	Data Mgmt.
PPG	3. Input/retrieve - Groundwater quality data into the GWQDB.	T = 25,000 records/yr	Hydrology
PPG	4. Assist in the preparation of the Annual Groundwater Quality Report to the legislature.	T = 9/02 A = 9/15/02	Hydrology (lead) Data Mgmt.
*104(b)(3) AZ Priorities/ PPG	5. Implement and maintain periodic data transfers from WQDB to STORET (includes both surface water and groundwater quality data).	T = 6/03	Data Mgmt. (lead) Hydrology
	6. Participate in agency-wide data integration and e-government efforts.	T = As needed	Data Mgmt.
	7. Continue analyses, design, programming and implementation steps to link LTF with Water Permits & Certifications including Engineering Review and AZPDES modules.	T = 6/03	Data Mgmt.
	8. Database applications training and user documentation.	T = As needed	Data Mgmt. (Lead) All Sections
	9. GIS projects in coordination with water programs, GIS Strategic Plan, and GIS Technical Committee. Projects include watershed and water quality assessments, WQARF sites, SWAP, E-MAP, wellhead protection, and special technical studies and modeling/simulations.	T = As needed	Data Mgmt.
PPG	10. Conduct maintenance for the WCET system.	T = As needed	Compliance

FY 2003 - 1st Quarter EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #4610: WQ Assessment - GW/SW	
<i>Objective #8:</i> By 2005, complete a baseline assessment and data management systems, complete characterization (using 305(b) criteria), defined scope/schedule for TMDL's, complete inventory of NPS activities - for 30% of watersheds. (Tie the 30% to Unified Watershed Assessments).			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY <i>T=TARGET A=ACTUAL</i>	RESPONSIBLE SECTION/ STAFF
1.8.3	TASK: Water Quality Database Development, Implementation & Management (Cont'd.) DELIVERABLES:		
PPG	11. Improve SDWIS interface linking ADEQ enforcement actions to violations.	T = 9/15/02 A = 9/15/02	Data Mgmt. (Lead) Drinking Water
PPG	12. Drinking Water database development a. IESWTR/DBPR module b. Lead and Copper module	a. T = 9/02 b. T = 7/02 A = 8/02	Data Mgmt. (Lead) Drinking Water
EXCEPTION REPORT COMMENTS (Info Needed: On-Target, Off-Target, Completed or N/A(No Activity). If "Off Target", please explain why.			
1ST QTR: Deliverable #4, #11 and #12b (Phase I) are completed. Deliverable #12a is off target; the IESWTR/DBPR has been only 40% completed due to resource constraints and frozen vacant positions. Established goal to fully complete incorporation of the rule into the database by 12/02. All other deliverables are on target.			

* Partial funding by AZ Priorities grant, pending EPA approval.

Note: Partial freeze of state-funded FTEs is in effect.

FTE FUNDING SOURCE	MONTHS	AMOUNT
G/F	74.20	209,076
ST 319(h) NPS Impl. III	12.00	45,322
PPG	15.00	61,569
ST PPG	2.00	4,298
WQARF	33.50	97,723
WQARF 319(h) NPS Impl. IV	8.50	32,348
WQARF-PPG	<u>3.00</u>	<u>11,250</u>
Total	148.20	461,586

FY 2003 - 1st Quarter EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #4610: WQ Assessment GW/SW	
Objective #8 : By 2005, complete a baseline assessment and data management systems, complete characterization (using 305(b) criteria), defined scope/schedule for TMDL's, complete inventory of NPS activities - for 30% of watersheds. (Tie the 30% to Unified Watershed Assessments).			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.8.4	TASK: Water Quality Standards Development. Support of activities for the State's "Surface Water Quality Standards Program," including conducting the triennial review of water quality standards as required under Section 303 of the Clean Water Act. DELIVERABLES:		
PPG	1. Begin implementing nutrient criteria workplan for Arizona beginning with lakes and reservoirs. a. Complete GIS data review b. Begin statistical analysis c. Define "reference" condition d. Identify data gaps and schedule monitoring e. Draft status report f. Gather additional data	a. T = 7/02 b. T = 8/02 c. T = 9/02 d. T = 10/02 e. T = 12/02 f. T = 6/03	Hydrology
PPG	2. Develop narrative standards implementation procedures documents for narrative toxics standard, narrative nutrient standard, narrative bottom deposits standard and antidegradation. a. Publish request for proposal from outside contractors to assist in development of procedures (FY 02 c/o). b. Award contract(s) (FY 02 c/o). c. Develop and initiate stakeholder process for peer review throughout the process. d. Work with contractor to develop: i. initial draft documents ii. final draft documents e. Initiate rulemaking process for adoption of implementation procedures in impaired water rule and any necessary changes to water quality standards. f. Final rulemaking	T = 12/02 a. T = 4/02 b. T = 6/02 c. T = 7/02 d.i. T = 9/02 d.ii. T = 11/02 e. T = 1/03 f. T = 6/03	Hydrology

FY 2003 - 1st Quarter EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #4610: WQ Assessment GW/SW	
<i>Objective #8</i> : By 2005, complete a baseline assessment and data management systems, complete characterization (using 305(b) criteria), defined scope/schedule for TMDL's, complete inventory of NPS activities - for 30% of watersheds. (Tie the 30% to Unified Watershed Assessments).			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.8.4	TASK: Water Quality Standards Development.(Cont'd.) DELIVERABLES:		
<p style="text-align: center;">EXCEPTION REPORT COMMENTS</p> <p>(Info Needed: On-Target, Off-Target, Completed or N/A(No Activity). If "Off Target", please explain why.</p> <p>1ST QTR: All deliverables are off target due to delays in procurement process. Will process workplan amendment to correct dates. Contractors will be selected in 2nd quarter and implementation procedures process will commence before end of calendar year.</p>			

FTE FUNDING SOURCE	MONTHS	AMOUNT
ST PPG	4.00	12,055
ST 319(h) NPS Impl. III	2.00	6,232
WQARF 319(h) NPS Impl. III	6.00	24,071
WQARF 319(h) NPS Impl. IV	3.00	9,265
PPG	<u>10.00</u>	<u>32,453</u>
Total	25.00	84,076

FY 2003 - 1st Quarter EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #4610: WQ Assessment	
GW/SW			
Objective #8 : By 2005, complete a baseline assessment and data management systems, complete characterization (using 305(b) criteria), defined scope/schedule for TMDL’s, complete inventory of NPS activities - for 30% of watersheds. (Tie the 30% to Unified Watershed Assessments).			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.8.5	TASK: Lake Water Quality Assessment. Monitor and assess water quality status and the natural or man-made conditions affecting water quality and watersheds of Arizona’s lakes and reservoirs. DELIVERABLES:		
PPG	1. Coordinate Urban Lakes Task Force with AZ Game and Fish and municipalities.	T = Ongoing	Hydrology
PPG	2. Conduct ambient lake monitoring per FY 03 sample plan. Monitoring to include (as budget allows): i. drinking water reservoirs ii. watershed characterization iii. lakes classification project iv. targeted sites in support of assessment process	T = 6/03	Hydrology
NPS Impl. III	3. Conduct TMDL follow-up monitoring as needed.	T = As needed	Hydrology
PPG	4. a. Review and select sites for FY 2004 Sample Plan. b. Develop FY 04 Sample Plan. c. Submit approved FY 04 plan to EPA.	T = 1/03 T = 3/03 T = 6/03	Hydrology
EXCEPTION REPORT COMMENTS			
(Info Needed: On-Target, Off-Target, Completed or N/A(No Activity). If “Off Target”, please explain why.			
1ST QTR: All deliverables are on target.			

FTE FUNDING SOURCE	MONTHS	AMOUNT
ST PPG	2.84	9,193
PPG	11.50	34,257
WQARF 319(h) NPS Impl. III	4.00	11,149
319(h) NPS Impl. III	<u>4.50</u>	<u>12,003</u>
Total	22.84	66,602

FY 2003 - 1st Quarter EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #4610: WQ Assessment	
		GW/SW	
<p><i>Objective #8</i> : By 2005, complete a baseline assessment and data management systems, complete characterization (using 305(b) criteria), defined scope/schedule for TMDL's, complete inventory of NPS activities - for 30% of watersheds. (Tie the 30% to Unified Watershed Assessments).</p>			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.8.6	<p>TASK: Fixed Station Network & Stream Ecosystem Monitoring Program.</p> <p>Ambient and targeted monitoring and reporting program. Implement ADEQ Fixed Station Network (FSN) and Stream Ecosystem Monitoring Program for surface water. Conduct intensive monitoring and reporting for "strategic monitoring" activities in target watersheds under the watershed approach and to confirm suspected water quality problems in areas with limited information.</p> <p>DELIVERABLES:</p>		
PPG	1. a) Conduct ADEQ ambient monitoring per FY 2003 sample plan.	T = 6/03	Hydrology
	b) Monitoring to include (as budget allows):	T = 6/03	Hydrology
	i. long-term FSN sites		
	ii. watershed characterization sites		
	iii. targeted sites in support of assessment process		
	2. a) Complete USGS Cooperative Agreement for FY 03.	T = 7/02	A = 07/02
	b) Prepare FY 2003 monitoring contract.	T = 7/02	A = 07/02
	3. a) Review and select sites for ADEQ FY 04 Sample Plan.	T = 1/03	Hydrology
	b) Develop FY 2004 FSN Sample Plan.	T = 3/03	
	c) Submit approved FY 04 plan to EPA.	T = 6/03	
	4. Conduct stream stability surveys and BEH I on West Fork Black River and Beaver Creek project (see task 1.8.9. 13).	T = 12/02	Hydrology
	5. Coordinate with Western EMAP to conduct methods comparison (side-by-sides).	T = 6/03	Hydrology

Note: Partial freeze of state-funded FTEs is in effect.

FY 2003 - 1st Quarter EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #4610: WQ Assessment GW/SW	
<i>Objective #8</i> : By 2005, complete a baseline assessment and data management systems, complete characterization (using 305(b) criteria), defined scope/schedule for TMDL's, complete inventory of NPS activities - for 30% of watersheds. (Tie the 30% to Unified Watershed Assessments).			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.8.6	TASK: Fixed Station Network & Stream Ecosystem Monitoring Program. (Cont'd) DELIVERABLES:		
<p style="text-align: center;">EXCEPTION REPORT COMMENTS</p> <p>(Info Needed: On-Target, Off-Target, Completed or N/A(No Activity). If "Off Target", please explain why.</p> <p>1ST QTR: Deliverables #1, #3, #4, #5: on target. Deliverable #2: completed 07/02.</p>			

FTE FUNDING SOURCE	MONTHS	AMOUNT
G/F	4.00	9,833
ST 319(h) NPS Impl. III	1.00	3,116
ST PPG	13.00	25,524
PPG	37.00	106,493
WQARF	2.16	8,665
WQARF 319(h) NPS Impl. IV	<u>33.00</u>	<u>105,532</u>
Total	90.16	259,163

FY 2003 - 1st Quarter EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #4610: WQ Assessment GW/SW	
<i>Objective #8</i> : By 2005, complete a baseline assessment and data management systems, complete characterization (using 305(b) criteria), defined scope/schedule for TMDL's, complete inventory of NPS activities - for 30% of watersheds. (Tie the 30% to Unified Watershed Assessments).			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.8.7	TASK: Surface Water Quality Assessment		
	Continue development of water quality assessment documents (e.g. 305(b), 205(j) and processes).		
	DELIVERABLES:		
PPG	1. Prepare 205(j) report.		Hydrology
	2. Complete 2002 305(b) report.		Hydrology
	a. draft list	a. T = 7/02 A = 7/02	
	b. begin public participation/review process	b. T = 7/02	
	c. final report to EPA	c. T = 10/02	
	d. Prepare executive summary for educational and other purposes.	c. T = 10/02 A = 6/02 & 8/02	
	3. Complete 2002 303(d) list.		Hydrology
	a. draft list	a. T = 7/02 A = 7/02	
	b. begin public participation/review process	b. T = 7/02 A = 8/02	
	c. final report to EPA	c. T = 10/02	
	4. Submit TMDL prioritization schedule based on 2002 list.	T = 10/02	Hydrology
	5. Develop Statewide Comprehensive Monitoring Program Description.		Hydrology
	a. outline	a. T = 12/02	
	b. draft program description	b. T = 4/03	
	6. Obtain and enter QA/QC'd water quality data into the ADEQ water quality database.	T = As needed	Hydrology

Note: Partial freeze of state-funded FTEs is in effect.

FY 2003 - 1st Quarter EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #4610: WQ Assessment GW/SW	
<i>Objective #8</i> : By 2005, complete a baseline assessment and data management systems, complete characterization (using 305(b) criteria), defined scope/schedule for TMDL's, complete inventory of NPS activities - for 30% of watersheds. (Tie the 30% to Unified Watershed Assessments).			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.8.7	TASK: Surface Water Quality Assessment (Cont'd). DELIVERABLES:		
<p style="text-align: center;">EXCEPTION REPORT COMMENTS</p> <p>(Info Needed: On-Target, Off-Target, Completed or N/A(No Activity). If "Off Target", please explain why.</p> <p>1ST QTR: All deliverables are on target.</p>			

FTE FUNDING SOURCE	MONTHS	AMOUNT
G/F	16.00	41,494
PPG	<u>17.00</u>	<u>58,502</u>
Total	33.00	99,996

FY 2003 - 1st Quarter EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #4860: NPS / Wetlands	
<i>Objective #8 :</i> By 2005, complete a baseline assessment and data management systems, complete characterization (using 305(b) criteria), defined scope/schedule for TMDL's, complete inventory of NPS activities - for 30% of watersheds. (Tie the 30% to Unified Watershed Assessments).			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.8.9	TASK: Wetlands VIII. Continue development of assessment methodology for stream integrity. Objectives, as follows: 1) Determine whether biological communities are correlated to environmental indicators for assessing riparian systems; 2) Continue development of regional rating curves for streams in Arizona;	1998 - 1999 (I) & (A) VERDE	1999 - 2000 (I) & (A) SAN PE DR O
Wetlands VIII	DELIVERABLES: 1) Correlation between biological communities (macro invertebrates and algae) with PFC. <i>(Verde)</i> a) Selection of sampling sites b) Site reconnaissance c) Develop selection criteria and determine sites d) Benchmark with permanent markers-GPS e) Collect biological samples (1st quarter only) f) Collect physical stream data g) Perform multi variate statistics to determine correlation. <i>(San Pedro)</i> a) Selection of sampling sites b) Site reconnaissance c) Develop selection criteria and determine sites d) Benchmark with permanent markers-GPS e) Collect biological samples (1st quarter only) f) Collect physical stream data g) Perform multi variate statistics to determine correlation.	<i>I)</i> <i>(Verde)</i> a) T = 9/98 A = 11/98 b) T = 1/99 A = 12/98 c) T = 1/99 A = 1/99 d) T = 3/99 A = = 3/9 9 e) T = 4/99 A = 5/99 f) T = 4/99 A = 5/99 g) T = 6/03 <i>(San Pedro)</i> a) T = 12/99 A = 12/99 b) T = 1/00 A = 12/99 c) T = 1/00 A = 12/99 d) T = 1/00 A = 1/00 e) T = 4/00 A = 5/00 f) T = 9/00 A = 5/00 g) T = 6/03	Hydrology
Wetlands VIII	2) Continued development of regional rating curves. <i>(Verde)</i> a) Survey channel cross sections b) Analyze data to determine regional curves <i>(San Pedro)</i> a) Survey channel cross sections b) Analyze data to determine regional curves	2) <i>(Verde)</i> a) T = 3/99 A = 3/99 b) T = 6/00 A = 2/02 <i>(San Pedro)</i> a) T = 3/00 A = 1/00 b) T = 6/00 A = 2/02	Hydrology

FY 2003 - 1st Quarter EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #4860: NPS /	
		Wetlands	
Objective #8 : By 2005, complete a baseline assessment and data management systems, complete characterization (using 305(b) criteria), defined scope/schedule for TMDL’s, complete inventory of NPS activities - for 30% of watersheds. (Tie the 30% to Unified Watershed Assessments).			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.8.9	TASK: Wetlands VIII (Cont’d.)	1998 - 1999 (I) & (A) VERDE	1999 - 2000 (I) & (A) SAN PEDRO
	DELIVERABLES:		
Wetlands VIII/	3. Rescope: Develop sediment rating curves for two (2) streams and a reference reach. Collect discharge, TSS, SSC and turbidity using auto sampling equipment to provide data to develop sediment rating curves.	T = 6/03	Hydrology
Wetlands VIII	4. Develop training videos for public outreach.	T = 6/02	A = 6/02
Wetlands VIII	5). Training for ADEQ staff: (Verde) a) Two staff attend Rosgen Geomorphology training.	T = 9/98	A = 9/98
	(San Pedro) a) Two staff attend Rosen Geomorphology training.	T = 9/98	A = 9/98
Wetlands VIII	6). Overall Grant Administration: (Verde) a) Progress reports, b) Final report.	a) T = Qtr & Final 2/99 b) T = 6/03	Hydrology
	(San Pedro) a) Progress reports, b) Final report.	a) T = Qtr b) T = 6/03	
EXCEPTION REPORT COMMENTS			
(Info Needed: On-Target, Off-Target, Completed or N/A(No Activity). If “Off Target”, please explain why.			
1ST QTR:			
Deliverable 1g-Verde/San Pedro: on target. Moody is finishing up field work by 12/02. Data analysis in 3rd qtr. Deliverable 3: on target – equipment continues to collect samples as flows allow.			

EXCEPTION REPORT COMMENTS

(Info Needed: On-Target, Off-Target, Completed or N/A(No Activity). If "Off Target", please explain why.

1ST QTR:

Deliverable 1g-Verde/San Pedro: on target. Moody is finishing up field work by 12/02. Data analysis in 3rd qtr. Deliverable 3: on target – equipment continues to collect samples as flows allow.

FTE FUNDING SOURCE	MONTHS	AMOUNT
G/F	1.00	4,012

FY 2003 - 1st Quarter EXCEPTION REPORT

Goal # 1: Clean & Safe Water		Program #4610: WQ Assessment GW/SW	
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TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.8.10	<p>TASK: TMDL Analyses</p> <p>Oversee Agency Total Maximum Daily Load (TMDL) efforts and conduct TMDL's and related analyses.</p> <p>DELIVERABLES:</p> <p>1. Conduct elements of TMDL analyses for the following impaired waterbodies. Final report submittal dates to EPA are tentative based on estimated ARS 49-231 processing times</p> <p>a. Collect historic data.</p> <p>1) Boulder Creek - headwaters to Wilder Creek (flouride)</p> <p>2) Little Colorado River - Porter Tank to McDonalds Wash (copper, silver)</p> <p>3) Pinto Creek - headwaters to Roosevelt Lake (copper)</p> <p>4) Queen Creek - headwaters to Superior Mine WWTP (copper)</p> <p>b. Prepare sample and analysis plan.</p> <p>1. Boulder Creek - headwaters to Wilder Creek (flouride)</p> <p>2. Little Colorado River - Porter Tank to McDonalds Wash (copper, silver)</p> <p>3. Pinto Creek - headwaters to Roosevelt lake (copper)</p> <p>4. Queen Creek - headwaters to Superior Mine WWTP (copper)</p> <p>c. Perform water quality sampling.*</p> <p>1) Boulder Creek - headwaters to Wilder Creek (flouride)</p> <p>2) Little Colorado River - Porter Tank to McDonalds Wash (copper, silver)</p> <p>3) Pinto Creek - headwaters to Roosevelt Lake (copper)</p> <p>4) Queen Creek - headwaters to Superior Mine WWTP (copper)</p>	<p>1) T = 6/03</p> <p>2) T = 6/03</p> <p>3) T = 6/03</p> <p>4) T = 6/03</p> <p>1) T = 10/02</p> <p>2) T = 3/03</p> <p>3) T = 9/02</p> <p>4) T = 12/02</p> <p>1) T = 6/03</p> <p>2) T = 6/03</p> <p>4) T = 6/03</p>	Hydrology

* Contracts to be funded by AzTMDLI & AzTMDLII to support TMDL workplan.

FY 2003 - 1st Quarter EXCEPTION REPORT

Goal # 1: Clean & Safe Water		Program #4610: WQ Assessment GW/SW	
<i>Objective #8</i> : By 2005, complete a baseline assessment and data management systems, complete characterization (using 305(b) criteria), defined scope/schedule for TMDL's, complete inventory of NPS activities - for 30% of watersheds. (Tie the 30% to Unified Watershed Assessments).			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.8.10	TASK: TMDL Analyses (Cont'd) DELIVERABLES: <div><div>d. Determine sufficiency of data for a TMDL calculation or delist proposal.<div>1) French Gulch - headwaters to Hassayampa (cadmium, copper, manganese, pH, Zinc)</div></div><div>e. Calculate TMDL<div>1) French Gulch - headwaters to Hassayampa (cadmium, copper, manganese, pH, zinc)</div></div><div>f. Draft TMDL or delist report.<div>1) French Gulch - headwaters to Hassayampa (cadmium, copper, manganese, pH, zinc)</div></div><div>g. Begin public participation process.<div>1. French Gulch - headwaters to Hassayampa (cadmium, copper, manganese, pH, zinc)</div></div></div> <div>2. Hold teleconferences with EPA Region IX TMDL staff.</div> <div>3. Coordinate TMDL investigations with the National Forest Service and Bureau of Land Management to identify where scheduled stream remediation activities such as abandoned mine site mitigation and stream habitat restoration plans can be tied to a completed TMDL.</div> <div>4. Continue TMDL training.</div>	<div>1) T = 10/02*</div> <div>T = 3/03*</div> <div>T = 5/03*</div> <div>T = 5/03*</div> <div>T = Monthly</div> <div>T = Ongoing</div> <div>T = Ongoing</div>	<div>Hydrology</div> <div>Hydrology</div> <div>Hydrology</div>

* Date dependant on adequacy of storm sampling.

FY 2003 - 1st Quarter EXCEPTION REPORT

Goal # 1: Clean & Safe Water		Program #4610: WQ Assessment GW/SW	
Objective #8 : By 2005, complete a baseline assessment and data management systems, complete characterization (using 305(b) criteria), defined scope/schedule for TMDL's, complete inventory of NPS activities - for 30% of watersheds. (Tie the 30% to Unified Watershed Assessments).			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.8.10	<p>TASK: TMDL Analyses (Cont'd)</p> <p>DELIVERABLES:</p> <p>5. Continue work on carried-over TMDL analyses. Applicable work elements A-H will be listed next to each of these investigations. The listed target date corresponds to the furthest level of completion.</p> <p>A. Collect historic data.</p> <p>B. Prepare sample and analysis plan.</p> <p>C. Perform water quality sampling.</p> <p>D. Determine sufficiency of data for a TMDL calculation or delist proposal.</p> <p>E. Calculate TMDL.</p> <p>F. Draft TMDL or delist report.</p> <p>G. Execute public participation process.</p> <p>H. Submit final TMDL or delist report to EPA.</p>		Hydrology
	1. Beaver Creek - Dry Beaver to Verde River (dissolved oxygen, turbidity) C, D	T = 12/02 (D)	
	2. Beaver Creek - headwaters to Black River (phosphorous, turbidity) C	T = 6/03(C)	
	3. Boulder Creek - Wilder Creek to Burro Creek (arsenic, beryllium, copper, lead, manganese, zinc) G, H	T = 9/02(H)	
	4. Christopher Creek - headwaters to Tonto Creek (nitrogen) C, D, E, F	T = 2/03(F) A = 9/02	
	5. Lakeside Lake (pH, dissolved oxygen, narrative nutrients, ammonia) F, G, H	T = 12/02(H)	
	6. Tonto Creek - headwaters to Haigler Creek (nitrogen, phosphorous, E. coli) C, D, E, F	T = 2/03(F)	
	7. Turkey Creek - headwaters to Poland Creek (arsenic, cadmium, copper, cyanide, pH, zinc) C, D, E, F, G	T = 6/03*(G)	
	8. Verde River - headwaters to Horseshoe Reservoir (nitrogen, phosphorous) D, E, F, G, H	T = 2/03(H)	

* Date dependant on adequacy of storm sampling.

FY 2003 - 1st Quarter EXCEPTION REPORT

Goal # 1: Clean & Safe Water <i>Objective #8</i> : By 2005, complete a baseline assessment and data management systems, complete characterization (using 305(b) criteria), defined scope/schedule for TMDL's, complete inventory of NPS activities - for 30% of watersheds. (Tie the 30% to Unified Watershed Assessments).		Program #4610: WQ Assessment GW/SW	
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.8.10	TASK: TMDL Analyses (Cont'd) DELIVERABLES: 9. West Fork Black River - headwaters to Black River East Fork (turbidity) C, D 10. Wet Beaver - Long Canyon to Rarick (turbidity) C 6. Develop a SSS for Mule Gulch headwaters to below Bisbee WWTP (copper, pH, zinc). 7. Continue involvement in the Gila pesticide investigation focusing on the following listed water bodies: i. Buckeye Canal ii. Gila River - Salt River to Agua Fria iii. Gila River - Agua Fria to Waterman Wash iv. Gila River - Waterman Wash to Hassayampa v. Gila River - Hassayampa to Gillespie Dam vi. Gila River - Gillespie Dam to Centennial Wash vii. Gila River - Centennial Wash to Rainbow Wash viii. Gila River - Rainbow Wash to Sand Tank ix. Gila River - Sand Tank to Painted Rock x. Hassayampa River - Buckeye Canal to Gila River xi. Salt River - 23 rd WWTP to Gila River xii. Painted Rock Reservoir - on Gila River xiii. Painted Rock Borrow Pit Lake a. Attend meetings. b. Collect historic information. c. Establish work plan. 8. Include interstate TMDL analysis coordination.	T = 6/03(C) T = 12/02 (D) A = 9/02 T = 6/03 T = Ongoing T = Ongoing T = 4/03 T = As needed	Hydrology Hydrology Hydrology Hydrology Hydrology

FY 2003 - 1st Quarter EXCEPTION REPORT

Goal # 1: Clean & Safe Water <i>Objective #8</i> : By 2005, complete a baseline assessment and data management systems, complete characterization (using 305(b) criteria), defined scope/schedule for TMDL's, complete inventory of NPS activities - for 30% of watersheds. (Tie the 30% to Unified Watershed Assessments).		Program #4610: WQ Assessment GW/SW	
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.8.10	TASK: TMDL Analyses (Cont'd)		
	DELIVERABLES:		
PPG	9. Commence Targeted Team Activities.		Hydrology SRO
	a. Develop boiler plate SAPs to be used for quickly planned sampling trips.	T = 7/02	
	b. Develop site specific SAPs as needed.	T = As needed	
	c. Establish a priority ranking system to evaluate waters on the planning list.	T = 7/02 A = 8/02	
	d. Assist TMDL project staff with monsoon sampling as needed and as time permits.	T = As needed	
	e. Commence targeted monitoring.	T = 7/02	
	f. Commence effectiveness/verification monitoring:	T = 6/03	
	i. Nutrioso Creek - headwaters to Picnic Creek;		
	ii. Nutrioso Creek - Picnic Creek to Little Colorado River;		
	iii. Oak Creek - Slide Rock State Park		
	g. Conduct pre-TMDL sampling at:	T = 6/03	
	i. Queen Creek - headwaters to Superior Mine WWTP;		
	ii. Boulder Creek - headwaters to Wilder Creek;		
	iii. Beaver Creek - Dry Beaver to Verde River;*		
	iv. Wet Beaver - Long Canyon to Rarick		

* This segment may require intense monitoring using equipment that will be available 9/03.

Note: Partial freeze of state-funded FTEs is in effect.

FY 2003 - 1st Quarter EXCEPTION REPORT

Goal # 1: Clean & Safe Water Objective #8 : By 2005, complete a baseline assessment and data management systems, complete characterization (using 305(b) criteria), defined scope/schedule for TMDL's, complete inventory of NPS activities - for 30% of watersheds. (Tie the 30% to Unified Watershed Assessments).		Program #4610: WQ Assessment GW/SW	
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.8.10	TASK: TMDL Analyses (Cont'd) DELIVERABLES:		
<p style="text-align: center;">EXCEPTION REPORT COMMENTS</p> <p>(Info Needed: On-Target, Off-Target, Completed or N/A(No Activity). If "Off Target", please explain why.</p> <p>1ST QTR:</p> <p><u>Deliverable 1:</u></p> <p>1 a(1),b(1),c(1) & a(4),b(4),c(4) – workplan being revised due to final prioritization of 303(d) list – these streams do not meet the "high priority" criteria. Queen Creek reach is already listed for targeted team in 9g.</p> <p>1a(2),a(3): on target</p> <p>1b(2),b(3): on target – both SAPs in draft but must be revised to address IWR</p> <p>1c(2),c(3): on target</p> <p>1d-1g: off target – no monsoon rain events in watershed so no new data collected. Looking for alternative projects with sufficient data.</p> <p><u>Deliverables 2-4, 6-8:</u> on target</p> <p><u>Deliverable 5:</u></p> <p>5(1), 5(2), 5(9): on target</p> <p>5(3) off target due to lengthy response to comments; to AAR for publication 10/20; final submittal to EPA in 12/02</p> <p>5(4) completed 9/02</p> <p>5(5) on target to AAR 9/02</p> <p>5(6) delist completed for phosphorus 9/02; on target for nitrogen and bacteria</p> <p>5(7) on target - collected some monsoon data but unclear whether it will be sufficient for modeling purposes</p> <p>5(8) off target – need substantial gw information; USGS study on hold due to ADWR reduction in funding. ADEQ is considering assisting in project but information would not be available for 2-3 years; assimilation study cannot be completed w/o gw information.</p> <p>5(10) completed 9/02</p> <p><u>Deliverable 9:</u></p> <p>9a on target; SAPs in draft, need to be updated to address new IWR requirements</p> <p>9b, 9d on target</p> <p>9c completed 8/02</p> <p>9e off target, due to staffing issues and adoption of IWR that has delayed startup. Anticipate progress in 2nd qtr</p> <p>9f off target, concentrating on pre-TMDL and targeted monitoring for this FY03</p> <p>9g off target, workplan to be revised per new prioritization of final 303(d) and Planning Lists.</p>			

FY 2003 - 1st Quarter EXCEPTION REPORT

Goal # 1: Clean & Safe Water		Program #4610: WQ Assessment GW/SW	
<i>Objective #8</i> : By 2005, complete a baseline assessment and data management systems, complete characterization (using 305(b) criteria), defined scope/schedule for TMDL’s, complete inventory of NPS activities - for 30% of watersheds. (Tie the 30% to Unified Watershed Assessments).			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.8.10	TASK: TMDL Analyses (Cont’d) DELIVERABLES:		

FTE FUNDING SOURCE	MONTHS	AMOUNT
GF	9.00	20,926
WQARF 319(h) NPS Impl. IV	6.00	16,724
PPG	13.50	38,820
PPG (SRO)	8.00	27,764
ST 319(h) NPS Impl. III	16.50	58,493
WQARF PPG	2.00	5,575
319(h) NPS Impl. III	43.50	147,474
319(h) NPS Impl. IV	<u>12.50</u>	<u>36,068</u>
Total	111.00	351,844

FY 2003 - 1st Quarter EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #4620: WQ Management Planning	
<i>Objective #8:</i> By 2005, complete a baseline assessment and data management systems, complete characterization (using 305(b) criteria), defined scope/schedule for TMDL's, complete inventory of NPS activities - for 30% of watersheds. (Tie the 30% to Unified Watershed Assessments).			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY <i>T=TARGET</i> <i>A=ACTUAL</i>	RESPONSIBLE SECTION/ STAFF
1.8.11	TASK: Watershed Characterization This project will produce Watershed Characterization document(s) for one or more Arizona watersheds. AZ CPP will outline the coordination between Watershed characterizations, TMDLs, watershed action plans, and regional plans. DELIVERABLES: 1. Watershed Characterization Products. Develop initial characterization plans for: a. Bill Williams watershed b. Colorado/Grand Canyon watershed 2. Identify probable NPS issues in three watersheds.	 a. T = 12/02 (initial outline) T = 6/03 (final plan) b. T = 12/02 (initial outline) T = 6/03 (final plan) T = 6/03	 Hydrology Hydrology
EXCEPTION REPORT COMMENTS (Info Needed: On-Target, Off-Target, Completed or N/A(No Activity). If "Off Target", please explain why.			
1ST QTR: All deliverables are on target. Will be processing a workplan revision to clarify which watershed documents are in development.			

Note: Partial freeze of state-funded FTEs is in effect.

FTE FUNDING SOURCE	MONTHS	AMOUNT
GF	8.00	19,320
ST PPG	2.00	4,298
319(h) NPS Impl. III	6.00	16,396
319(h) NPS Impl. IV	<u>4.00</u>	<u>14,667</u>
Total	20.80	54,681

FY 2003 - 1st Quarter EXCEPTION REPORT

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FY 2003 - 1st Quarter EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #4620: WQ Management Planning	
<i>Objective #9:</i> By 2005, reduce total Pollutant loading (point source and Nonpoint source) by 30% for 30% of watersheds by developing baseline through monitoring, by performing compliance assistance measures in accordance with the watershed plan, and by increasing public involvement and partnerships with stakeholders.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.9.1	TASK: Support of On-Site Wastewater System Program & County Delegations (Cont'd.) DELIVERABLES:		

EXCEPTION REPORT COMMENTS

(Info Needed: On-Target, Off-Target, Completed or N/A(No Activity). If "Off Target", please explain why.)

1ST QTR:
All deliverables are on target.

FTE FUNDING SOURCE	MONTHS	AMOUNT
ST 319(h) NPS Impl. III	3.00	18,957
ST PPG	2.00	12,638
PPG	<u>12.00</u>	<u>67,143</u>
AZ Priorities - support costs only (#3)		
Total	17.00	98,738

FY 2003 - 1st Quarter EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #4740: PS - NPDES	
Objective #9 : By 2005, reduce total Pollutant loading (point source and nonpoint source) by 30% for 30% of watersheds by developing baseline through monitoring, by performing compliance assistance measures in accordance with the watershed plan, and by increasing public involvement and partnerships with stakeholders.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.9.2	TASK: Certification of NPDES Permits. Conduct CWA §401 state certification process for both NPDES and storm water permits. Review and/or draft NPDES permits and deliverables and prepare state §401 certification letters. Provide NPDES program and application assistance to regulated community, agencies and the public. Maintain Notice of Intent (NOI) tracking database for general permits. DELIVERABLES:		
PPG	1. Draft major permits (including receiving applications, draft permit, fact sheet/statement of basis, public notice).	T = 1/Qtr See Comments	A - 0 Permits
PPG	2. Draft minor permits (including receiving applications, draft permit, fact sheet/statement of basis, public notices)	T = 3/Qtr	A - 4 Permits
PPG	3. Prepare CWA §401 state certification letters of final permits (including EPA drafted permits).	T = As scheduled	Permits
PPG	4. Provide technical assistance and program information to facilities, agencies and the public.	T = As needed	Permits
PPG	5. Report status (new, active, expired or revoked) of all NPDES (both process and storm water) permits to EPA within 10 days of quarter end.	T = 1/Qtr	Permits
PPG	6. Carryover - continue processing backlog (including modifications) of NPDES permits from previous year.	T = 6/03 - As scheduled	Permits
	7. Conduct antidegradation reviews of draft NPDES permits.	T = As needed	Permits
	8. Technical reviews of applications for mixing zones, nutrient waivers and variances.	T = As needed	Permits

NOTE: This will be used if AZPDES program is not approved by EPA by 7/1/02. (See next page.)

FY 2003 - 1st Quarter EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #4740: PS - NPDES	
Objective #9 : By 2005, reduce total Pollutant loading (point source and nonpoint source) by 30% for 30% of watersheds by developing baseline through monitoring, by performing compliance assistance measures in accordance with the watershed plan, and by increasing public involvement and partnerships with stakeholders.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.9.2	TASK: Certification of NPDES Permits (Cont'd). DELIVERABLES:		

EXCEPTION REPORT COMMENTS

(Info Needed: On-Target, Off-Target, Completed or N/A(No Activity). If “Off Target”, please explain why.

1ST QTR:
 Deliverable #1: No major permits were submitted for signature during the first quarter. ADEQ is currently working on Mesa, AZ0024031 (carryover); N. Phoenix Gateway AZ0024864 (new); and Green Valley-Pima County AZ0024902 (new). The Mesa permit has not progressed due to outstanding 208 and anti-degradation issues. Deliverable #2: ADEQ is working on a number of minor permits, and 4 were signed during the first quarter: Orchard Ranch AZ0023256, Jerome AZ0021804, Central & Camelback AZ0024848 and Big Park AZ0024082. Deliverable #4: This quarter ADEQ has also worked closely with EPA to develop a draft General Permit for the small MS4 facilities and to reissue individual permits to Phase I MS4s.

FTE FUNDING SOURCE	MONTHS	AMOUNT

FY 2003 - 1st Quarter EXCEPTION REPORT

GOAL #1: Clean & Safe Water Program #4740: PS - NPDES			
Objective #9 : By 2005, reduce total Pollutant loading (point source and nonpoint source) by 30% for 30% of watersheds by developing baseline through monitoring, by performing compliance assistance measures in accordance with the watershed plan, and by increasing public involvement and partnerships with stakeholders.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.9.2	TASK: Arizona Pollutant Discharge Elimination System (AZPDES) Process applications to issue, reissue, modify, deny, suspend or revoke all AZPDES permits. Permit applications by major dischargers will normally receive first priority in all NPDES activities; however, priorities may be revised based upon other considerations, including risk-based analyses concerning water quality and public health considerations. DELIVERABLES:		
PPG	1. Make permit decisions to issue, modify, deny, suspend or revoke AZPDES permits.	T = Within LTF (once time frames are established)	Permits
PPG	2. Reissue all expiring AZPDES permits for which timely and complete permit renewal requests have been submitted (see following page).	T = On or before date of expiration	Permits
PPG	3. Conduct review of all requests for fundamentally different factors variances; for variances under Sections 301(c), (g), (h) and (k) and Section 316(a) of the CWA; and for modifications to federal effluent limitations.	T = As needed	Permits
PPG	4. Provide technical assistance and program information to facilities, agencies and the public.	T = As needed	Permits
PPG	5. Develop and issue general AZPDES permits for Stormwater Phase II.	T = 12/09/02	Permits
PPG	6. Issue individual MS4 permits drafted by EPA.	T = 9/30/02	Permits

NOTE: This task assumes EPA approval of AZPDES by 7/1/02. If program is not approved, the existing (FY 02) task and deliverables should be included in the FY03 workplan. (See previous page.)

FY 2003 - 1st Quarter EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #4740: PS - NPDES	
Objective #9 : By 2005, reduce total Pollutant loading (point source and nonpoint source) by 30% for 30% of watersheds by developing baseline through monitoring, by performing compliance assistance measures in accordance with the watershed plan, and by increasing public involvement and partnerships with stakeholders.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.9.2	TASK: Arizona Pollutant Discharge Elimination System (AZPDES) DELIVERABLES:		
<div style="text-align: center;">EXCEPTION REPORT COMMENTS</div> (Info Needed: On-Target, Off-Target, Completed or N/A(No Activity). If “Off Target”, please explain why. 1ST QTR:			

NOTE: This task assumes EPA approval of AZPDES by 7/1/02. If program is not approved, the existing (FY 02) task and deliverables should be included in the FY03 workplan. **(See previous page.)**

FTE FUNDING SOURCE	MONTHS	AMOUNT
WQFF	2.28	3,007
G/F APP	14.28	26,520
G/F	8.00	28,726
GF/AZPDES	60.00	175,343
PPG	<u>45.54</u>	<u>149,957</u>
Total	130.10	383,553

FY 2003 - 1st Quarter EXCEPTION REPORT

1.9.2 TASK: Arizona Pollutant Discharge Elimination System (AZPDES) Cont'd.

ADEQ is scheduled to process the following permits. In addition, ADEQ will process all new NPDES permit application, renewals, and modifications that are received in FY 2003.

2003 NPDES Project Workplan (completion by June 30, 2003)

Permittee	NPDES No. AZ____	Type	Date Received	Permit Status
Estates at Pine Canyon	0024902	min new	1/14/02	Assigned to ADEQ 3/25/02. (DD)
Somerton, City of	0023051	min renewal	due 4/27/02	Cert. signed 8/29/02, to EPA 9/02
SRP Santan	0023558	min	due 6/29/02	Expires 12/31/02
SRP Kyrene	0023540	min renewal	due 6/29/02	Expires 12/31/02
Tempe, City of- Kyrene	0023248	maj renewal	due 7/02/02	Expires 1/02/03
Pima County WW- Avra Valley	0024121	maj renewal	due 7/18/02	Expires 1/18/03
Asarco, Inc- Ray Mine	0000035	maj renewal	due 8/06/02	Expires 2/06/03
Queen Valley SD	0022071	min	due 7/08/02	Expires 1/08/03
West Osborn Complex	0024171	min	due 7/08/02	Expires 1/08/03
Cobre Valle Plaza Shopping Center	0020745	min	due 9/14/03	Expires 3/14/03
Mining Camp Restaurant	0021825	min	due 9/14/03	Expires 3/14/03
Roadhaven RV Resort	0023515	min	due 9/14/03	Expires 3/14/03
US Bureau of Rec- Hoover Dam WWTP	0110329	min	due 9/15/03	Expires 3/15/03
Solitude Trails Subdivision	0024104	min	due 10/16/02	Expires 4/16/03
NIBW Superfund Remediation	0024210	min	due 11/9/03	Expires 5/9/03

FY 2003 - 1st Quarter EXCEPTION REPORT

2003 NPDES Project List (completion by June 30, 2003)-- As of 9/07/02

Renewal NPDES Permits

Permittee	NPDES No. AZ____	Type	Date Received	Permit Status
Mesa, City of NW WRP ** Carryover	0024031	maj	5/30/01, resubmitted 8/24/01	permit being drafted. Assigned to WM. Revising for new standards & also awaiting 208 consistency. Antidegradation issues with Town Lake.
Atterbury Wash, now called Lincoln Park ** Carryover		min		permit being drafted. Assigned to WM.
Gila Resources- Safford ** Carryover	0024911	maj	11/30/01	Assigned to ADEQ 2/01/02. 208 consistency issues. Public noticed 9/5/02. Will be packaged for signature & to EPA 9/02. DN
Lakeside Lake ** Carryover	0024201	min		On hold for a year per TMDL
S Grande Canyon Tuscayan ** Carryover	0023477	min	7/13/01	Out of external review & preparing final revision and public notice documents. MW.
Wilcox WWTP ** Carryover		min	5/25/01	Finaling with new WQ stds. MW
SRP Agua Fria	0023531	min	rec 6/28/02	Expires 12/31/02. Under Rev. IC
SRP Santan	0023558	min	rec 6/28/02	Expires 12/31/02. Under Rev. DD
SRP Kyrene	0023540	min	rec 6/28/02	Expires 12/31/02 Under rev. RA
Tempe, City of- Kyrene	0023248	maj	rec 7/03/02	Expires 1/02/03. Under review. Asssigned to DN.
Pima County WW- Avra Valley	0024121	maj	rec 7/18/02	Expires 1/18/03. Under Review MW.
Asarco, Inc- Ray Mine	0000035	maj	rec. 8/06/02	Expires 2/06/03. Under review- assigned to IC.
Queen Valley SD	0022071	min	due 7/08/02	Expires 1/08/03. DD Exp. In External review.
West Osborne Complex	0024171	min	due 7/08/02	Expires 1/08/03-- closed per letter 7/16/02. Remove from Inventory.
Cobre Valle Plaza Shopping Center	0020745	min	due 9/14/03	Expires 3/14/03
Mining Camp Restaurant	0021825	min	due 9/14/03	Expires 3/14/03

FY 2003 - 1st Quarter EXCEPTION REPORT

2003 NPDES Project List (completion by June 30, 2003)-- As of 9/07/02

Renewal NPDES Permits (cont'd)

Roadhaven RV Resort	0023515	min	due 9/14/03	Expires 3/14/03
US Bureau of Rec- Hoover Dam WWTP	0110329	min	due 9/15/03	Expires 3/15/03
Solitude Trails Subdivision	0024104	min	due 10/16/02	Expires 4/16/03
NIBW Superfund Remediation	0024210	min	due 11/9/03	Expires 5/9/03

FY 2003 - 1st Quarter EXCEPTION REPORT

New Permits

Applicant	NPDES No. AZ____	Type	Date Received	Permit Status
Estates at Pine Canyon	0024902	min new	1/14/02	Assigned to ADEQ 3/25/02. (DD) in external review 9/17.
ECP GW Remediation Facility	0024970	min new	8/14/02	Assigned to MP.
Gold Canyon WWTP	0024953	min	6/17/02 9/07/02	Application returned as incomplete. 7/10. MW – New application rec. 9/07/02
Green Valley- Pima Co	0024937	maj	6/11/02	under review. MP
N. Phoenix- Gateway	0024864	maj	8/15/01	Assigned to ADEQ 12/13/01. Permit being drafted. DN
Sundance WW Reclamation	0024881	min	9/17/01	Rec. new appl. 5/21/02. Permit drafted. Has been through external review. Awaiting 208 consistency expected in Nov prior to public notice. IC
City of Phoenix Water System	0024961	min	2/13/02	Came in as request for “general permit”. Per EPA, application whs shelved until 8-02 when Terry Oda asked us to start processing. DD. Permit in internal review.
Reliant Energy	0024929	min	6/11/02	letter of deficiency to facility 6/24/02. Facility not responded. DD
Shea Sunbelt/Jomax	0024945	min	rec. 6/07/02	DD. Ready for PN; awaiting 208 issues expected to be resolved in Nov.
Anthem- Citizen Water Campus	0024872	min		DD. Application withdrawn 9/26/02. REMOVE

FY 2003 - 1st Quarter EXCEPTION REPORT

GOAL #1: Clean & Safe Water Program #4850: NPS - 401

Objective #9: By 2005, reduce total Pollutant loading (point source and nonpoint source) by 30% for 30% of watersheds by developing baseline through monitoring, by performing compliance assistance measures in accordance with the watershed plan, and by increasing public involvement and partnerships with stakeholders.

TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.9.4	TASK: CWA 401 Certification of CWA 404 Permits, NEPA Document Review & Other Individual Certifications. Conduct CWA 401 Certification program to ensure surface water quality standards are met. DELIVERABLES:		
PPG	1. Review and issue CWA §401 certification for ACOE 404 Nation-Wide Permit.	T = Within 30 days after receipt of complete application	Permits
PPG	2. Review and issue CWA §401 certifications for ACOE 404 Individual Permits.	T = Within 15 days after receipt of complete application	Permits
PPG	3. Review and coordinate comments on EA/EIS reports for point source aspects of transportation, utility and large rezoning projects.	T = As needed	Permits
PPG	4. Support Water Treaty Compliance efforts to prepare Unauthorized Activity Reports for submittal to U.S. Army Corps of Engineers.	T = As needed	Permits

EXCEPTION REPORT COMMENTS

(Info Needed: On-Target, Off-Target, Completed or N/A(No Activity). If “Off Target”, please explain why.

1ST QTR:

All deliverables are on target.

FTE FUNDING SOURCE	MONTHS	AMOUNT
G/F	3.90	16,748
PPG	<u>10.00</u>	<u>36,123</u>
Total	13.90	52,871

FY 2003 - 1st Quarter EXCEPTION REPORT

Program #'s: 4710 - St. WQ Permits; 4740 - NPDES; 4750 - Non-Permitted PS Discharge; 4810 - NPS-Other
GOAL #1: Clean & Safe Water

Objective #9: By 2005, reduce total Pollutant loading (point source and nonpoint source) by 30% for 30% of watersheds by developing baseline through monitoring, by performing compliance assistance measures in accordance with the watershed plan, and by increasing public involvement and partnerships with stakeholders.

TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.9.5	TASK: Water Pollution Compliance. Conduct site inspections of known, regulated facilities. All inspections will yield a determination of compliance as required by the permit and applicable rule. Field notes will support findings and conclusions identified in final inspection report. Inspections will also include random field sampling as necessary to ensure compliance with discharge limits.		
	DELIVERABLES:		
PPG	1. Perform compliance inspections of point source facilities. Inspect all major NPDES facilities annually. NPDES inspection reports will encompass applicable activities as described by Section 3-7 of the NPDES Compliance Inspection Manual (EPA 300-B-94-014).	T = 41	Compliance NRO = 7 SRO = 7 PHX = 27
PPG	2. Perform scheduled compliance inspections of NPDES minor point source facilities. Inspect all minor NPDES facilities on an annual basis. NPDES inspection reports will encompass applicable activities as described by Section 3-7 of the NPDES Compliance Inspection Manual (EPA 300-B-94-014).	T = 32	Compliance NRO = 12 SRO = 3 PHX = 17
PPG	3. Perform scheduled inspections of those facilities possessing an APP or GWPP permit.	T = 170	Compliance NRO = 32 SRO = 18 PHX = 120
	4. Perform scheduled inspections of those facilities possessing a reuse permit.	T = 30	Compliance NRO = 5 SRO = 5 PHX = 20
	5. Perform scheduled compliance inspections of those facilities that require an APP but have not been issued one.	T = As needed	Compliance NRO =As needed SRO =As needed PHX =As needed
	6. Perform scheduled compliance inspections of those facilities that have been issued an APP general permit.	T = 58	Compliance NRO = 18 SRO = 20 PHX = 20

Program #'s: 4710 - St. WQ Permits; 4740 - NPDES; 4750 - Non-Permitted PS Discharge; 4810 - NPS-Other

FY 2003 - 1st Quarter EXCEPTION REPORT

GOAL #1: Clean & Safe Water

Objective #9: By 2005, reduce total Pollutant loading (point source and nonpoint source) by 30% for 30% of watersheds by developing baseline through monitoring, by performing compliance assistance measures in accordance with the watershed plan, and by increasing public involvement and partnerships with stakeholders.

TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.9.5	TASK: Water Pollution Compliance (Cont'd.) DELIVERABLES:		
	7. Perform follow-up inspections of previously inspected facilities.	T = As needed	Compliance NRO =As needed SRO =As needed PHX =As needed
	8a. Conduct inspections of nonpoint sources. i. Industrial	T = 63	Compliance NRO = 21 SRO = 21 PHX = 21
	ii. Construction (These inspection targets are preliminary and reflect a ramping up of the stormwater program).	T = 147	Compliance NRO = 49 SRO = 49 PHX = 49
	8b. Report number and % of facilities inspected that are covered under the general construction and industrial stormwater NPDES permits.	T = As needed	Compliance NRO =As needed SRO =As needed PHX =As needed
	9. Develop CAFO inspection/enforcement and Compliance Assistance Program including USDA, NRCS, and AZ Dept. of Agriculture.	T = 7/02	Compliance NRO =As needed SRO =As needed PHX =As needed
	10. Perform compliance inspections of nonpoint source (CAFO/AFO) facilities.	T = 40	Compliance PHX = 40
	11. ADEQ will, after discussions and plan development coordinated with Region 9 staff, concentrate construction/stormwater inspection activities in two priority watersheds.	T = Determined during quarterly status meetings.	Compliance NRO =As needed SRO =As needed PHX =As needed
	12. Issue Notices of Opportunity to Correct (NOCs) and/or Notices of Violation (NOVs) and monitor corrective actions taken to return to compliance.	T = As needed	Compliance NRO =As needed SRO =As needed PHX =As needed

Program #'s: 4710 - St. WQ Permits; 4740 - NPDES; 4750 - Non-Permitted PS Discharge; 4810 - NPS-Other
GOAL #1: Clean & Safe Water

Objective #9: By 2005, reduce total Pollutant loading (point source and nonpoint source) by 30% for 30% of watersheds by developing baseline through monitoring, by performing compliance assistance measures in accordance with the watershed plan, and by increasing public involvement and partnerships with stakeholders.

FY 2003 - 1st Quarter EXCEPTION REPORT

TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.9.5	TASK: Water Pollution Compliance (Cont'd.) DELIVERABLES: 13. Complaint investigations will be conducted according to Gregory v. Schafer Consent Decree complaint investigation protocols and guidelines. 14. Provide site-specific compliance assistance. 15. Joint and/or audit inspections with delegated entities.	T = As needed T = As needed T = 15	Compliance NRO =As needed SRO =As needed PHX =As needed Compliance NRO =As needed SRO =As needed PHX =As needed Compliance NRO = 5 SRO = 5 PHX = 5

EXCEPTION REPORT COMMENTS

(Info Needed: On-Target, Off-Target, Completed or N/A(No Activity). If "Off Target", please explain why.

1ST QTR:

Deliverables #1-6 are generally on target or slightly off target of plan. Stormwater inspection targets are off target and internal meetings being scheduled are to schedule inspections. Deliverable #9: CAFO/AFO targets are not being met due to loss of staff for the quarter. A new hire will start October 28, 2002. All other deliverables are on target.

FTE FUNDING SOURCE	MONTHS	AMOUNT
G/F	37.45	125,196
GF/AZPDES (NPDES)	36.00	108,783
ST PPG	29.96	77,739
PPG	46.00	135,195
WQFF	29.04	107,193
PPG (NRO)	10.00	43,600
PPG (SRO)	6.00	23,069
Total	194.45	620,775

FY 2003 - 1st Quarter EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #4810: NPS -	
Other			
Objective #9 : By 2005, reduce total Pollutant loading (point source and Nonpoint source) by 30% for 30% of watersheds by developing baseline through monitoring, by performing compliance assistance measures in accordance with the watershed plan, and by increasing public involvement and partnerships with stakeholders.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.9.6	TASK: NPS Base Program Management Plan, manage and implement a Nonpoint Source Program for the State of Arizona. DELIVERABLES:		
NPS Impl. III	1. Amend and implement the NPS Water Quality Management Plan. a. Outline b. stakeholder meetings c. draft NPS WQMP d. public presentation e. final NPS plan	T = 6/03 a. T = 7/02 b. T = 9/02 c. T = 1/03 d. T = 4/03 e. T = 6/03	Hydrology
NPS Impl. III CWAP II	2. Provide oversight on implementation of demonstration projects for correction of NPS impairments on 303(d) listed and other impaired waters.	T = Ongoing	Hydrology
NPS Impl. III	3. Develop and implement strategy to conduct education/outreach efforts to increase public awareness of NPS impacts to surface and groundwater resources.	T = 6/03	Hydrology
NPS Impl. III PPG	4. Provide support to community advisory groups to address NPS pollutant impacts.	T = Ongoing	Hydrology SRO
	5. Process state water quality certifications for proposed NPS activities.	T = as requested	Hydrology
NPS Impl. IV	6. Support NPS Water Quality Implementation efforts conducted by community-based NPS watershed advisory groups.	T = monthly	Hydrology
NPS Impl. IV	7. Provide oversight of existing MOUs with USFS, BLM, ADWR, AGF, San Carlos, Navajo Tribe and Hualapai Tribe.	T = Annual Meetings (as required) District Meetings (As Required)	Hydrology
NPS Impl. IV	8. Continue coordination with federal land management agencies on 303(d) listed waters.	T = Ongoing	Hydrology

GOAL #1: Clean & Safe Water	Program #4810: NPS -
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FY 2003 - 1st Quarter EXCEPTION REPORT

Other			
Objective #9 : By 2005, reduce total Pollutant loading (point source and Nonpoint source) by 30% for 30% of watersheds by developing baseline through monitoring, by performing compliance assistance measures in accordance with the watershed plan, and by increasing public involvement and partnerships with stakeholders.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY <i>T=TARGET</i> <i>A=ACTUAL</i>	RESPONSIBLE SECTION/ STAFF
1.9.6	TASK: NPS Base Program Management (Cont'd) DELIVERABLES:		
NPS Impl. IV	9. Complete remote inventories of all known nonpoint sources in the Colorado/Grand Canyon; Salt River; Santa Cruz; and Middle Gila basins.	T = 3/03	Hydrology
NPS Impl. IV	10. Submit annual NPS report to EPA that reports: a. progress in implementing SMP;II; b. evaluates effectiveness of WQIG projects; i. Develop strategy for evaluating effectiveness. ii. Monitor WQIG projects. iii. "Estimate" loads reduced by WQIG projects iv. Report project effectiveness (e.g., estimated loads reduced) into GRTS and Annual Report. c. summary of resources used to implement SMP;II.	T = 9/03	Hydrology
EXCEPTION REPORT COMMENTS (Info Needed: On-Target, Off-Target, Completed or N/A(No Activity). If "Off Target", please explain why. 1ST QTR: Deliverable #1; off target. Meeting with EPA on 10/17/02 to discuss revisions to the workplan dates. This should not affect final deliverable date of 6/03. Deliverables #2 -10: on target.			

Note: Partial freeze of state-funded FTEs is in effect.

FTE FUNDING SOURCE	MONTHS	AMOUNT
G/F	4.00	9,833
ST 319(h) NPS Impl. III	12.00	32,793
PPG (SRO)	3.00	12,444
319(h) NPS Impl. III	12.00	37,861
319(h) NPS Impl. IV	<u>30.00</u>	<u>91,094</u>
Total	61.00	184,025

FY 2003 - 1st Quarter EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #4810: NPS - Other	
<i>Objective #9</i> : By 2005, reduce total Pollutant loading (point source and Nonpoint source) by 30% for 30% of watersheds by developing baseline through monitoring, by performing compliance assistance measures in accordance with the watershed plan, and by increasing public involvement and partnerships with stakeholders.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY <i>T=TARGET</i> <i>A=ACTUAL</i>	RESPONSIBLE SECTION/ STAFF
1.9.7	TASK: 319(h) Project Management & Education ADEQ will ensure that Federal dollars available for Section 319(h) projects will be targeted to water quality impairments resulting from NPS activities. Educational endeavors, such as workshops and training, will continue to be pursued. DELIVERABLES:		
NPS Impl. IV	1. Solicit, evaluate and select 319 grant applications. a. Incorporate Annual Supplemental Guidelines for the Award of Section 319(h) Nonpoint Source Grants into grant process. b. Develop and execute 319(h) grant agreements. c. Develop and conduct grant workshops. d. Target watersheds (e.g., TMDL implementation plans, watershed-based plans and land uses).	T = 1 (Annual)	Hydrology
NPS Impl. IV	2. a. Oversee 319(h) funded projects, including projects which support WRAS and TMDL development/implementation. b. Provide EPA with list of awarded grants (with associated funding).	T = Ongoing T = Annually	Hydrology
PPG	3. Maintain and update library of final 319(h) project reports.	T = 12/02	Hydrology
NPS Impl. IV	4. Report project activities in GRTS database. Provide and attend GRTS database training.	T = Ongoing	Hydrology
NPS Impl. IV	5. Update 319(h) Demonstration Project Summary book as needed until GRTS contains sufficient data to phase out and replace summary book.	T = Quarterly (as needed)	Hydrology

FY 2003 - 1st Quarter EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #4810: NPS - Other	
<i>Objective #9</i> : By 2005, reduce total Pollutant loading (point source and Nonpoint source) by 30% for 30% of watersheds by developing baseline through monitoring, by performing compliance assistance measures in accordance with the watershed plan, and by increasing public involvement and partnerships with stakeholders.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY <i>T=TARGET</i> <i>A=ACTUAL</i>	RESPONSIBLE SECTION/ STAFF
1.9.7	TASK: 319(h) Project Management & Education (Cont'd)		
	DELIVERABLES:		
NPS Impl. IV	6. Conduct education/outreach efforts to increase public awareness of NPS impacts to surface and groundwater resources. a. Oversee Envirothon activities. b. Oversee NEMO implementation. c. Support requests from the community and local schools for water education. d. Develop presentations to promote 319(h) program.	T = Ongoing	Hydrology
NPS Impl. IV	7. Coordinate annual meeting to set internal goals for 319(h) projects. Provide report to EPA.	T = Annually	Hydrology
NPS Impl. IV	8. Coordinate and conduct grant award meetings to assure compliance with state and federal guidelines.	T = Ongoing	Hydrology
EXCEPTION REPORT COMMENTS (Info Needed: On-Target, Off-Target, Completed or N/A(No Activity). If “Off Target”, please explain why.			
1ST QTR: All deliverables are on-target. Deliverable 1c: updated grant manual and conducted grants workshops in 07/02. Grant cycle closed 9/25/02 – WQIP received 20 applications. Evaluation to be completed in 2 nd qtr; awards in 01/03.			

FTE FUNDING SOURCE	MONTHS	AMOUNT
G/F	4.00	17,975
ST PPG	4.00	7,245
PPG	12.00	33,776
319(h) NPS Impl. IV	<u>24.00</u>	<u>81,090</u>
Total	44.00	140,086

GOAL #1: Clean & Safe Water	Program #4840:
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NPS/Pesticides			
Objective #9 : By 2005, reduce total Pollutant loading (point source and nonpoint source) by 30% for 30% of watersheds by developing baseline through monitoring, by performing compliance assistance measures in accordance with the watershed plan, and by increasing public involvement and partnerships with stakeholders.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.9.8	TASK: Pesticide, Data Call-In Compliance & Data Management. Maintain information submittal and review process. Develop and maintain Pesticide Groundwater Protection List. Develop and maintain pesticides data management hardware and software systems. Implement enforcement procedures for the program. DELIVERABLES:		
PPG	1. Continue reviewing Data call-in submittal for new pesticide active ingredients.	T = 20	Hydrology
PPG	2. Continue updating draft Groundwater Protection List (GWPL) on quarterly basis.	T = As needed	Hydrology
PPG	3. Continue developing and maintaining Pesticide Database including managing the hardware/software systems.	T = Continuous	Hydrology
	4. Continue providing ADA with data call-in status on monthly basis.	T = 12	Hydrology
	5. Complete the Annual Pesticide Use/Sale Report to the Legislature.	T = 8/02 A = 9/02	Hydrology
	6. Continue conducting enforcement/compliance actions against chemical companies which register agricultural pesticide products without getting approval regarding data call-in submittal.	T = As needed	Hydrology

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GOAL #1: Clean & Safe Water		Program #4840: NPS/Pesticides	
<i>Objective #9</i> : By 2005, reduce total Pollutant loading (point source and nonpoint source) by 30% for 30% of watersheds by developing baseline through monitoring, by performing compliance assistance measures in accordance with the watershed plan, and by increasing public involvement and partnerships with stakeholders.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.9.8	TASK: Pesticide, Data Call-In Compliance & Data Management (Cont'd). DELIVERABLES:		
<p style="text-align: center;">EXCEPTION REPORT COMMENTS</p> <p>(Info Needed: On-Target, Off-Target, Completed or N/A(No Activity). If “Off Target”, please explain why.</p> <p>1ST QTR: Deliverable #1 on target. Deliverable 2, 3, and 6: on target. Deliverable 5: completed 9/15/02 as part of the Annual Report. </p>			

FTE FUNDING SOURCE	MONTHS	AMOUNT
ST PPG	3.00	6,065
ST 319(h) NPS Impl. III	4.00	15,296
PPG	<u>16.00</u>	<u>43,610</u>
Total	23.00	64,971

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GOAL #1: Clean & Safe Water		Program #4620: WQ Management Planning	
Objective #9 : By 2005, reduce total Pollutant loading (point source and Nonpoint source) by 30% for 30% of watersheds by developing baseline through monitoring, by performing compliance assistance measures in accordance with the watershed plan, and by increasing public involvement and partnerships with stakeholders.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.9.9	TASK: Regional Water Quality Management Planning Provide leadership, technical assistance, expertise and support to outside planning and governmental entities to support watershed planning (208 Program). DELIVERABLES:		
604(b) VII	1. Grant amendments/awards for regional planning services.	T = 8	Hydrology
604(b) VII	2. a. Review and process WQM Plan Amendments. b. Begin integrating 208 Plans and watershed planning	T = As requested	Hydrology
604(b) VIII	3. Conduct 208 Consistency Reviews, Water Quality Management Plan Implementation.	T = 30 - As required	Hydrology
604(b) VIII	4. Technical Assistance: - Verbal responses - Written responses - Meetings - Formal outreach/technical assistance.	T = 100 - As requested	Hydrology
EXCEPTION REPORT COMMENTS (Info Needed: On-Target, Off-Target, Completed or N/A(No Activity). If “Off Target”, please explain why. 1ST QTR: All deliverables are on target.			

FTE FUNDING SOURCE	MONTHS	AMOUNT
G/F	3.00	6,447
ST PPG	4.00	9,487
604(b) VII	6.50	18,590
604(b) VIII	<u>13.50</u>	<u>29,894</u>
Total	27.00	64,418

FY 2003 - 1st Quarter EXCEPTION REPORT

GOAL #1: Clean & Safe Water

Program #4610: WQ Assessment -

GW/SW

Objective #9 : By 2005, reduce total Pollutant loading (point source and nonpoint source) by 30% for 30% of watersheds by developing baseline through monitoring, by performing compliance assistance measures in accordance with the watershed plan, and by increasing public involvement and partnerships with stakeholders.

TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.9.10	<p>TASK: Characterization of Nutrient Variables in Arizona's Effluent Dependent Waters</p> <p>Provide technical program support for use and maintenance of database management systems and computer-based analytical applications.</p> <p>DELIVERABLES:</p>		
Nutrient Crit. Dev.	1. Publish request for proposals	T = 3/02	Hydrology
"	2. Award contract.	T = 6/02	Hydrology
"	3. Complete fall sampling.	T = 12/02	Hydrology
"	4. Complete spring sampling.	T = 5/03	Hydrology
"	5. Statistically characterize, graphically depict, interpret and make recommendations from data gained from the 1999 grant titled: "Characterization of nutrient variables in Arizona's effluent dependant waters."	T = 8/03	Hydrology
Nutrient Crit. Dev.	<p>6. GRANT CONDITION: Submit quarterly reports to EPA within 30 days after each reporting period. Reports will include the following:</p> <ol style="list-style-type: none"> 1. Work status, work progress, difficulties encountered, preliminary data results and a state of activity anticipated during the subsequent reporting period, equipment description, techniques, material to be used or evaluated. 2. Discussion of expenditures, along with a comparison of the percentage of the project completed to the project schedule, and an explanation of significant discrepancies. 3. Changes of key personnel concerned with the project. 	T = Quarterly	Hydrology

FY 2003 - 1st Quarter EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #4610: WQ Assessment -	
GW/SW			
<i>Objective #9</i> : By 2005, reduce total Pollutant loading (point source and nonpoint source) by 30% for 30% of watersheds by developing baseline through monitoring, by performing compliance assistance measures in accordance with the watershed plan, and by increasing public involvement and partnerships with stakeholders.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.9.10 Nutrient Crit. Dev.	TASK: Characterization of Nutrient Variables in Arizona's Effluent Dependent Waters (Cont'd.) DELIVERABLES: 7. GRANT CONDITION: Submit to EPA, within 90 days after expiration or termination of the approved project period, a final report and at least one hard copy suitable for printing. The report shall document project activities over the entire project period and shall describe the recipient's achievements with respect to stated project purposes and objectives.	T = 12/03	Hydrology
<p style="text-align: center;">EXCEPTION REPORT COMMENTS</p> <p>(Info Needed: On-Target, Off-Target, Completed or N/A(No Activity). If "Off Target", please explain why.</p> <p>1ST QTR: Developing an ISA with the University of Arizona's Water Resources Research Center to assist with collection of field data. ISA will be finalized in 10/02 (deliverable). Sampling will commence in 11/02 (deliverable 3). Anticipate completion of project on schedule.</p>			

FTE FUNDING SOURCE	MONTHS	AMOUNT
ST PPG	1.00	3,116

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GOAL #1: Clean & Safe Water		Program #4110: Supervisory / Gen. & Admin.	
Objective #10: Continued development of efficient planning process to provide long-term vision and resources for Water Quality Program. Administer Comprehensive Water Quality Program.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.10.1	TASK: Division & Section Management Manage Water Quality Division and Section programs. Perform managerial, administrative, database development and other program duties. Advise management team and provide guidance and training to staff. Provide technical assistance to programs and external customers. Provide staff support and communications to public interest/stakeholder involvement efforts. Provide program oversight and guidance to regional staff performing tasks under the integrated workplan. Administer integrated planning efforts by way of annual work plan, operational plans, strategic plan, budget, federal grants and evaluation tools. Conduct special projects. DELIVERABLES:		
PPG	1. Reports: a) Section Exception Report to WQ Planning Section. Due 15 days after end of the 1 st , 2 nd , and 3 rd state FY quarters. b) Section annual Output Report to Planning. Due 15 days after end of fiscal year. c) Section Performance Measures Report to WQ Planning Section. Due 11 days after end of quarter. d) Governor’s Report to WQ Planning Section due 9 days after end of month. 2. Annual Compliance Report to Legislature. 3. Conduct policy coordinating group meetings monthly.	T = a) 10/15/02 1/15/03 4/15/03 b) 7/02 A = 7/02 c) Quarterly d) Monthly T = Draft 8/02; Final 11/02 T = As needed	All Sections Compliance Permits Director’s Office
PPG	4. EPA/ADEQ grant meetings: a) End-of-year review for FY 2002 b) Mid-year review for FY 2003 c) EPA negotiation meetings for FY 2004	T = a) 9/02 A = 9/02 b) 2/03 c) 3/03	All Sections

FY 2003 - 1st Quarter EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #4110: Supervisory / Gen. & Admin.	
<i>Objective #10:</i> Continued development of efficient planning process to provide long-term vision and resources for Water Quality Program. Administer Comprehensive Water Quality Program.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY <i>T=TARGET</i> <i>A=ACTUAL</i>	RESPONSIBLE SECTION/ STAFF
1.10.1	TASK: Division & Section Management (Cont'd.) DELIVERABLES:		

EXCEPTION REPORT COMMENTS

(Info Needed: On-Target, Off-Target, Completed or N/A(No Activity). If “Off Target”, please explain why.

1ST QTR:
 Deliverable #1a, #1c, #1d, #4b and #4c are on target. Deliverable #1b, and #4a are completed. Deliverable #3: Group met once.

Note: Partial freeze of state-funded FTEs is in effect.

FTE FUNDING SOURCE	MONTHS	AMOUNT
ST SRF/PWSS II	4.50	14,178
G/F	48.36	185,548
G/F APP	4.56	8,425
ST PPG	34.10	116,986
PPG	0.84	4,294
ST 319(h) NPS Impl. III	21.00	59,070
ST 319(h) NPS Impl. IV	7.00	42,293
WQFF	<u>7.44</u>	<u>13,747</u>
Total	127.80	444,541

FY 2003 - 1st Quarter EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #4620: WQ Management Planning	
Objective #10: Continued development of efficient planning process to provide long-term vision and resources for Water Quality Program. Administer comprehensive water quality program.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.10.2	<p>TASK: Workplan, Grants, Contracts & Budgets</p> <p>Coordinate the development and amendment of annual water quality work plans and grants, coordinate progress reporting activities, provide internal/external communications on grants/budgets management issues, coordinate the development of State and Federal budgets, develop budget planning projections and reports for all fund sources. Develop annual planning schedule. Plan, control and monitor expenditures of manpower and financial resources.</p> <p>Assist in development and implementation of WQD short and long term planning activities. Coordinate/oversee Division contracting activities. Implement ADEQ P2000 pilot project. Develop Special Projects as required by the Division. Maintain Construction Grants file retention & destruction. Provide Freedom of Information for Construction Grants Program.</p> <p>DELIVERABLES:</p>		
PPG	1. Develop annual FY 04 Integrated Water Quality Division and SRF Set-Aside workplan.	T = Draft 3/03 Final 6/03	Planning
PPG	2. Coordinate development of performance reports: a) FY 03 Exception report to EPA quarterly; b) FY 02 Output report to EPA annually; c) Governor’s report monthly; d) Strategic Performance Measures quarterly; and, e) Annual report for ADEQ set-asides (Submit to WIFA and EPA.)	T = a) 11/02; 2/03; 5/03 b) 8/02 c) 12 d) 8/02; 11/02; 2/03; 5/03 e) 8/02	Planning Planning/Drinking Water
	3. Coordinate with EPA the destruction of the official Construction Grant files as they are returned from State Retention Center.	T = As required	Planning

FY 2003 - 1st Quarter EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #4620: WQ Management Planning	
Objective #10: Continued development of efficient planning process to provide long-term vision and resources for Water Quality Program. Administer comprehensive water quality program.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.10.2	TASK: Workplan, Grants, Contracts & Budgets (Cont'd.)		
	DELIVERABLES:		
PPG	4. Develop grant applications and other official grant actions and submit to EPA or other agencies: <u>Grant Applications Submitted:</u> a. New: b. Supplemental Funding: <u>Change Letters:</u> c. Time Extensions: d. Rebudgets: e. Workplan Revisions: <u>Grant Funding Researched:</u> f. Proposals Submitted: g. Proposals Requested: <u>Other Actions:</u> h. PPG Official Documents: i. Other Official Documents: j. Federal Register Notification k. Federal/State Budget Load l. State/Federal Revenue Projections m. Output Report Completed n. Exception Reports Completed o. Workplan/Spreadsheet Change Log	T = 60 (as required)	Planning
	5. Develop/modify Accord Agreement.	T = 5/03 (As needed)	Planning
	6. Prepare WQD Master Profiles for FY 2004.	T = 6/03	Planning

FY 2003 - 1st Quarter EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #4620: WQ Management Planning	
<i>Objective #10:</i> Continued development of efficient planning process to provide long-term vision and resources for Water Quality Program. Administer comprehensive water quality program.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY <i>T=TARGET</i> <i>A=ACTUAL</i>	RESPONSIBLE SECTION/ STAFF
1.10.2	TASK: Workplan, Grants, Contracts & Budgets (Cont'd.) DELIVERABLES:		
<p style="text-align: center;">EXCEPTION REPORT COMMENTS</p> <p>(Info Needed: On-Target, Off-Target, Completed or N/A(No Activity). If “Off Target”, please explain why.</p> <p>1ST QTR: All deliverables are on target.</p>			

FTE FUNDING SOURCE	MONTHS	AMOUNT
G/F	28.00	86,556
ST PPG	16.00	40,909
PPG	<u>60.00</u>	<u>187,832</u>
Total	104.00	315,297

FY 2003 - 1st Quarter EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #4110: Supervisory / Gen. & Admin.	
Objective #10: Continued development of efficient planning and operational process to provide long-term vision and resources for Water Quality Program. Administer Comprehensive Water Quality Program.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.10.3	TASK: Program Development Activities. Perform program development activities relating to rules development and revision, UIC related activities, program policies and program procedures. DELIVERABLES:		
PPG	1. Draft technical corrections to APP rule including nitrogen management for on-site wastewater systems.	T = 12/02	Permits
PPG	2. Propose technical corrections APP rule.	T = 4/03	Permits
PPG	3. Propose technical corrections to reclaimed water rules.	T = 5/03	Permits
PPG	4. Provide input to LTF2 rule development.	T = As needed	Permits
EXCEPTION REPORT COMMENTS (Info Needed: On-Target, Off-Target, Completed or N/A(No Activity). If “Off Target”, please explain why. 1ST QTR: Unable to comment - currently unofficial program. All deliverables are on target.			

FTE FUNDING SOURCE	MONTHS	AMOUNT
G/F	5.76	26,680
G/F APP	12.08	49,897
WQFF	7.20	31,416
ST PPG	5.00	31,595
PPG	<u>28.00</u>	<u>84,491</u>
Total	58.04	224,079

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GOAL #2: Reduce Trans-Boundary Risks. <i>Objective #11:</i> Continue implementing the Border Strategy Plan.		Program #9100: Border Issues	
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
2.11.1	TASK: U.S. / Mexico Transboundary Water Quality Projects. Follow up Transboundary Water Quality Issues in the Arizona - Sonora border area and conduct water quality studies border-wide. Provide general border coordination. DELIVERABLES:		
WQTAC	1. Support the Sonora Northeast WQ Monitoring project on WQ sampling activities under the ADEQ-UNISON MOU.	T = As needed	Hydrology
“ ”	2. Provide Hydrological support to the Nogales Wash PCE plume. Continue working with the appropriate US and Mexican agencies to monitor the groundwater in the Nogales Wash aquifer. Conduct one annual sampling event for binational wells located on both sides of the border. Continue efforts to delineate the PCE plume in the Sonoran Nogales Wash groundwater aquifer.	T = 3/03	Hydrology
“ ”	3. Provide general border water coordination under the new regional water group. Attend binational meetings. Participate in data collection/analysis efforts of groundwater environmental indicators for the Arizona-Sonora border region. Continue participating in the Arizona-Mexico Commission, attend the IBWC Citizen Advisory group meetings being planned for the Arizona-Sonora border, and support the Arizona tribes on their efforts for water quality assessments of transboundary basins. Continue to perform GPS/GIS activities to update well inventories and industrial facilities (and/or integrate existing data) in the border area.	T = As needed	Hydrology
GOAL #2: Reduce Trans-Boundary Risks. <i>Objective #11:</i> Continue implementing the Border Strategy Plan.		Program #9100: Border Issues	
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
2.11.1	TASK: U.S. / Mexico Transboundary Water Quality Projects (Cont'd). DELIVERABLES:		

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“ ”	4. Support Wastewater Pretreatment Programs in the Ambos Nogales border region. Extend the ADEQ-COAPAES MOU until June 31, 2004 to continue with the pretreatment technical support. Follow up activities after the joint ADEQ-COAPAES sampling activities of industrial discharges in Nogales, Sonora for FY2002 have been evaluated. a. Arrange/provide training on pretreatment to COAPAES and border communities.	T = As needed T = 10/02 and 4/03	Hydrology
“ ”	5. Support ADEQ WQD on one ADEQ ADHS Environmental Sampling Training workshop.	T = 11/02	Hydrology
“ ”	6. a. Submit quarterly progress reports to the EPA project officer within 30 calendar days after the end of each Federal fiscal quarter. These reports should include a discussion of the activities conducted during the quarter and progress towards milestones, problems encountered and their resolution, and activities planned for the next quarter. In addition, quarterly reports should identify any special EPA assistance needed, as well as provide a financial accounting of costs incurred during the quarter and cumulative project costs by task.. - January 30, 2003 - April 30, 2003 - July 30, 2003 - October 30, 2003 (FY 04)	T = Quarterly	Hydrology
“ ”	b. Within 90 days of the end of the project period, the recipient must submit a final report documenting project activities over the entire project period and the recipient's achievements with respect to the project's purposes and objectives.	T = 3/04	

GOAL #2: Reduce Trans-Boundary Risks.

Program #9100: Border Issues

Objective #11: Continue implementing the Border Strategy Plan.

TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
2.11.1	TASK: U.S. / Mexico Transboundary Water Quality Projects (Cont'd).		

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	DELIVERABLES:		
EXCEPTION REPORT COMMENTS (Info Needed: On-Target, Off-Target, Completed or N/A(No Activity). If “Off Target”, please explain why. 1ST QTR: All deliverables are on target.			

FTE FUNDING SOURCE	MONTHS	AMOUNT
WQTAC	12.00	53,381

REVISED AUGUST 2, 2002

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GOAL #3: Expansion of Right To Know.		Program #4210: Safe Drinking Water	
<i>Objective #12:</i> Define types of data/information available on Internet. By 2005, develop an implementation plan.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
3.12.1	TASK: Drinking Water Website. Maintain and update drinking water information on ADEQ's website. DELIVERABLES:		
PPG	1. Coordinate with ADEQ webmaster.	T = Ongoing	Drinking Water
PPG	2. Ensure information submitted to webmaster is accurate.	T = As needed	Drinking Water
PPG	3. Review posted material on website to ensure information is accurate and up to date.	T = 12	Drinking Water
<div style="text-align: center;">EXCEPTION REPORT COMMENTS</div> <p>(Info Needed: On-Target, Off-Target, Completed or N/A(No Activity). If "Off Target", please explain why.</p> <p>1ST QTR: All deliverables are on target.</p>			

FTE FUNDING SOURCE	MONTH S	AMOUN T
PPG	2.00	7,725

FY 2003 - 1st Quarter EXCEPTION REPORT

GOAL #3: Expansion of Right To Know.		Program #4210: Safe Drinking Water	
Objective #13: By 2000, implement framework and content for consumer confidence reports.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
3.13.1	TASK: Increasing Public Awareness. Increase public water system customer knowledge of where their water comes from, the quality of their water and how their waters systems operates through the publication of an annual Consumer Confidence Report (CCR). DELIVERABLES: 1. Develop outreach materials for CCR. 2. Conduct CCR workshop efforts with capacity development assistance activities.	 T = As needed T = 12	 Drinking Water Drinking Water
EXCEPTION REPORT COMMENTS (Info Needed: On-Target, Off-Target, Completed or N/A(No Activity). If "Off Target", please explain why.			
1ST QTR: All deliverables are on target.			

FTE FUNDING SOURCE	MONTHS	AMOUNT
ST SRF/PWSS II	2.00	4,917

FY 2003 - 1st Quarter EXCEPTION REPORT

GOAL #4: Provide Credible Deterrent & Greater Compliance with the law.

Program #4740: NPDES

Objective #14: Achieve continued improvement in compliance and enforcement in high priority areas.

TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY <i>T=TARGET A=ACTUAL</i>	RESPONSIBLE SECTION/ STAFF
4.14.1	<p>TASK: Water Pollution Data Management</p> <p>Ensure accuracy of compliance database (WCET & Drinking Water) used to support compliance and enforcement programs.</p> <p>DELIVERABLES:</p> <ol style="list-style-type: none"> Conduct comparison and review for compliance the facility information provided on Self-Monitoring Report Forms with state water quality protection database information (WCET). Conduct mailing to APP and Reuse permitted facilities requesting the owner confirm water quality protection database information and providing the owner with the correct ADEQ mailing address for submittal of self-monitoring report forms. Conduct telephone survey of APP and Reuse permitted facilities, requesting confirmation of water quality protection database information. 		
PPG	4. Perform facility file verification of reporting violations discovered through use of the water quality protection database before issuance of Notices of Violation, then modify database accordingly.	T = 100	Compliance
PPG	5. Enter discharge monitoring report data into PCS within 20 days of receipt of the discharge monitoring report (DMR).	T = As needed	Compliance
PPG	6. Enter NPDES inspection information into PCS within 45 days of inspection.	T = As needed	Compliance
PPG	7. Perform quality control of PCS data to identify missing or incorrect data, monthly	T = 12	Compliance
	8. Prepare Quarterly Non-Compliance Report from PCS.	T = Quarterly	Compliance

FY 2003 - 1st Quarter EXCEPTION REPORT

GOAL #4: Provide Credible Deterrent & Greater Compliance with the law.		Program #4740: NPDES	
<i>Objective #14:</i> Achieve continued improvement in compliance and enforcement in high priority areas.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY <i>T=TARGET A=ACTUAL</i>	RESPONSIBLE SECTION/ STAFF
4.14.1	TASK: Water Pollution Data Management (Cont'd.)		
	DELIVERABLES:		
	9. Support creation of agency-wide enforcement docket.	T = Quarterly, as needed	Compliance
PPG/ 104(b)(3) Az Prior.	10. Conduct analysis, design, programming, and implementation steps to meet new requirements for WCET database systems to support AZPDES and Permits. · Electronic loading of required data into PCS · PCS training for ADEQ staff	T = 6/03 T = 10/02	Data Mgmt. (co-Lead) Compliance (co-Lead)
PPG	11. Incorporate drinking water rule requirements into drinking water database system as needed. a. stage 1 m/dbp rule b. lead and copper c. link ADEQ enforcement actions to violations d. general SDWIS interface upload maintenance	T = 6/03 a. T = 9/02 b. T = 7/02 c. T = 9/15/02 A=9/15/02 d. T = Ongoing	Compliance (co-Lead) Drinking Water (co-Lead) Data Mgmt.
PPG	12. Technical support for Drinking Water electronic reporting system and public access to selected data; and continue research on web-based electronic reporting alternatives.	T = As needed	Data Mgmt.
PPG	13. Coordinate with EPA R9 the input of minor source NPDES compliance information into PCS or develop an alternative method to generate an annual facility compliance report.	T = As needed	Compliance (co-Lead) Data Mgmt.

NOTE: Partial freeze of state-funded FTEs is in effect.

FY 2003 - 1st Quarter EXCEPTION REPORT

GOAL #4: Provide Credible Deterrent & Greater Compliance with the law.		Program #4740: NPDES	
<i>Objective #14:</i> Achieve continued improvement in compliance and enforcement in high priority areas.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY <i>T=TARGET</i> <i>A=ACTUAL</i>	RESPONSIBLE SECTION/ STAFF
4.14.1	TASK: Water Pollution Data Management (Cont'd.) DELIVERABLES:		
<p style="text-align: center;">EXCEPTION REPORT COMMENTS</p> <p>(Info Needed: On-Target, Off-Target, Completed or N/A(No Activity). If “Off Target”, please explain why.</p> <p>1ST QTR: Deliverables #1-10, #11d, #12 and #13 are on target. Deliverables #11a and #11b are off target. First portion of lead and copper completed, second portion not yet started. Deliverable #11c is completed.. Additional staff are being expanded access to PCS.</p>			

FTE FUNDING SOURCE	MONTHS	AMOUNT
G/F	44.30	92,810
ST PPG	32.50	90,423
PPG	15.00	52,759
ST SRF/PWSS II	9.00	29,457
WQARF-PPG	3.00	11,605
Total	102.80	277,054

FY 2003 - 1st Quarter EXCEPTION REPORT

Program #'s: 4710 - St. WQ Permits; 4740 - NPDES; 4750 - Non-Permitted PS Discharge; 4810 - NPS-Other
GOAL #4: Provide Credible Deterrent and Greater Compliance with the law.
Objective #14 : Achieve continued improvement in compliance and enforcement in high priority areas.

TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
4.14.2	TASK: Water Pollution Enforcement. Evaluate, prepare and take informal and formal enforcement actions for violations of state water quality statutes, rules and permit conditions and federal CWA statutes, rules and permit conditions. DELIVERABLES:		
	1. Issue Notices of Violation and track schedules for permit violations.	As needed	Compliance
PPG	2. Issue Administrative Orders and track schedules (as needed).	As needed	Compliance
PPG	3. Referrals to the Attorney Generals Office (as needed).	As needed	Compliance
	4. Close enforcement actions (as needed).	As needed	Compliance
	5. Review concluded cases and those under development during monthly teleconferences.	As needed	Compliance
PPG	6. Hold regular meetings and/or conference calls with EPA to discuss CWA enforcement. Document agreement on discussed items and follow up actions. Provide list of cases monthly. One call each quarter will be devoted to discussing the QNCR list and the details of actions taken on each SNC on the QNCR list. The dates for the quarterly calls devoted to QNCR discussion are scheduled as follows: - September 20, 2002 - December 20, 2002 - March 2003 - June 2003	T = 12 (monthly)	Compliance
PPG	7. Written Quarterly Enforcement Report to EPA which includes: a) number of Administrative Orders issued, b) number of Administrative Orders issued resulting in compliance; c) penalties (civil, assessed and collected); d) brief description of SEPs accepted; e) brief description of civil actions initiated and concluded every two quarters (twice per year).	T = 4 (quarterly)	Compliance

NOTE: Partial freeze of state-funded FTEs is in effect.

Program #'s: 4710 - St. WQ Permits; 4740 - NPDES; 4750 - Non-Permitted PS Discharge; 4810 - NPS-Other
GOAL #4: Provide Credible Deterrent and Greater Compliance with the law.

FY 2003 - 1st Quarter EXCEPTION REPORT

Objective #14 : Achieve continued improvement in compliance and enforcement in high priority areas.

TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
4.14.2	TASK: Water Pollution Enforcement. (Cont'd) DELIVERABLES:		

EXCEPTION REPORT COMMENTS

(Info Needed: On-Target, Off-Target, Completed or N/A(No Activity). If “Off Target”, please explain why.

1ST QTR:

All deliverables are on target.

FTE FUNDING SOURCE	MONTHS	AMOUNT
G/F	40.40	114,961
ST PPG	14.00	35,487
GF/AZPDES (NPDES)	12.00	41,616
PPG	<u>9.20</u>	<u>24,342</u>
Total	75.60	216,406

FY 2003 - 1st Quarter EXCEPTION REPORT

GOAL #4: Provide Credible Deterrent & Greater Compliance with the law. Program #4210: Safe Drinking Water
Objective #14: Achieve continued improvement in compliance and enforcement in high priority areas.

TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY <i>T=TARGET A=ACTUAL</i>	RESPONSIBLE SECTION/ STAFF
4.14.3	<p>TASK: Drinking Water Enforcement</p> <p>Evaluate, prepare and take informal and formal enforcement actions for violations of state drinking water program statutes and rules and for violations of delegated federal SDWA statutes and rules.</p> <p>DELIVERABLES:</p>		
PPG	1. Issue Notices of Violation and track schedules for monitoring, reporting, and MCL-related violations.	T = As needed	Compliance
PPG	2. Issue Administrative Orders and track schedules, as needed.	T = As needed	Compliance
PPG	3. Referrals to the Attorney Generals Office, as needed.	T = As needed	Compliance
PPG	4. Close enforcement actions, as needed.	T = As needed	Compliance
PPG	5. Address, evaluate and respond to 100% of EPA's Significant Noncompliance List (within 30 days). <ul style="list-style-type: none"> a. Confirm true SNCs; b. Assess which SNCs ADEQ will address and which EPA will address; c. For each case, determine type of action to be taken and the timeframe for that action; d. Revise Targets for the following enforcement actions as needed: <ul style="list-style-type: none"> - Issue Notices of Violation and track schedules for monitoring, reporting, and MCL related violations, as needed. - Issue Administrative Orders and track schedules as needed. - Referrals to the Attorney General's Office as needed. 	T = 4 (quarterly)	Compliance
PPG	6. MCL exceedance public notice confirmations, as needed.	T = As needed	Compliance

FY 2003 - 1st Quarter EXCEPTION REPORT

GOAL #4: Provide Credible Deterrent & Greater Compliance with the law. Program #4210: Safe Drinking Water
Objective #14: Achieve continued improvement in compliance and enforcement in high priority areas.

TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY <i>T=TARGET A=ACTUAL</i>	RESPONSIBLE SECTION/ STAFF
4.14.3	TASK: Drinking Water Enforcement (Cont'd.) DELIVERABLES:		
PPG	7. Hold regular meetings and/or conference calls with EPA to discuss drinking water enforcement. Document agreement on discussed items and follow up actions. One call each quarter will be devoted to discussing the SNC list and the details of actions taken on each SNC. The dates for the quarterly calls devoted to SNCs are scheduled as follows to coincide with the SNC/Exception Processing Cycle: <ul style="list-style-type: none"> - September 20, 2002 - December 20, 2002 - March 2003 - June 2003 	T = 12 (monthly)	Compliance
PPG	8. Written Monthly Enforcement Report to EPA which includes: a) number of Administrative Orders issued, b) number of Administrative Orders issued resulting in compliance; c) penalties (civil and administrative, assessed and collected); d) brief description of SEPs accepted; e) brief description of civil actions initiated and concluded every two quarters (twice per year); and f) Actions taken on any SNCs.	T = 12 (monthly)	Compliance
PPG	9. Quarterly SDWIS updates to EPA August 15, 2002 November 15, 2002 February 15, 2003 May 15, 2003	T = 4	Data Mgmt. Compliance
PPG	10. Complete identification of Groundwater Under the Influence systems. Discuss status of GUDI actions taken during monthly calls; track percentage of suspect sources for which determinations have been made. Close enforcement actions, as needed.	T = 12/31/02	Compliance
PPG	11. Report to SDWIS all systems violating initial lead and copper monitoring requirements.	T = 7/01/02 A = 8/02	Compliance Data Mgmt.
PPG	12. Address Wellton Mohawk non-compliance.	T = 6/30/03	Compliance

FY 2003 - 1st Quarter EXCEPTION REPORT

GOAL #4: Provide Credible Deterrent & Greater Compliance with the law. Program #4210: Safe Drinking Water
Objective #14: Achieve continued improvement in compliance and enforcement in high priority areas.

TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY <i>T=TARGET A=ACTUAL</i>	RESPONSIBLE SECTION/ STAFF
4.14.3	TASK: Drinking Water Enforcement (Cont'd.) DELIVERABLES:		

EXCEPTION REPORT COMMENTS

(Info Needed: On-Target, Off-Target, Completed or N/A(No Activity). If "Off Target", please explain why.

1ST QTR:

Deliverable #8 is off target, loss of staff, hiring to commence. Deliverable #9 was completed August 15, 2002, SDWIS reporting. Deliverable #11 is completed. All other deliverables are on target.

FTE FUNDING SOURCE	MONTHS	AMOUNT
G/F	23.20	60,113
G/F DW	36.00	101,286
ST PPG	29.00	77,589
PPG	<u>31.00</u>	<u>109,517</u>
Total	119.20	348,505